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FOREWORD

For the purpose of promoting its educational mission, the University of Miami and the University of Miami Leonard M. Miller School of Medicine (UMMSM) has the inherent right to preserve order and maintain stability through the setting of standards of conduct and the prescribing of procedures for the enforcement of such standards. In addition to maintaining order and stability, the University aims to utilize its disciplinary procedure as a developmental process whenever possible. In accordance with this philosophy, educational assignments may be added to any disciplinary penalties. The foundation underlying such student standards relies on the tenet that the exercise of individual rights must be accompanied by an equal amount of responsibility. This assures that the same rights are not denied to others. By becoming a member of the University community, a student acquires rights in, as well as responsibilities to, the whole University community. These rights and responsibilities are defined within this handbook.

Students are required to comply with all University regulations as well as all local, city, county, state, and federal laws. All students are subject to the policies and procedures as contained herein. Any act that constitutes a violation or an attempt to violate any of the policies or procedures contained herein may establish cause for disciplinary and/or legal action by the University. In circumstances where this handbook defines a violation more stringently or differently than Florida law, the handbook’s definition shall supersede. The University is not limited to or bound by the definitions contained in the Florida statutes or case law.

Students are also subject to rules and regulations that apply to academic programs of the various academic schools and colleges, including but not limited to, the Undergraduate and Graduate Honor Codes.

In lieu of, or in addition to, disciplinary action, the University also reserves the right to impose fines, take legal action, deny or terminate financial aid and housing privileges, revoke study abroad privileges, revoke other privileges and impose other penalties as may be deemed appropriate. Furthermore, admission of a student to the University of Miami for any semester does not imply or guarantee that such student will be re-enrolled in any succeeding academic semester. Students may also be subject to disciplinary proceedings for acts committed before their admission and/or enrollment at the University of Miami.

Students who engage in conduct that endangers their personal health or safety or the personal health or safety of others, may be required to participate and make satisfactory progress in a program of medical evaluation and/or treatment if they are to remain at the University. The determination as to the student’s participation and progress is to be made by the Executive Dean for Education and Policy in consultation with the Associate/Assistant Deans for Student Affairs, the Associate/Assistant Deans for Student Services, the Medical Student Behavioral Health Service, and others as deemed appropriate. The University reserves the right to require the withdrawal of a student from either enrollment and/or University housing, whose continuation in school, in the University's judgment, is detrimental to the health or safety of the student or others. Students who withdraw for reasons of health or safety must contact the UMMSM Office Student Affairs before seeking readmission to the University. Decisions made under this policy are final.
From time to time it may be advisable for the University to alter or amend its procedures or policies. Reasonable notice may be furnished to the University community of any substantive changes but is not required. Whenever specific titles are used in these procedures, they shall include the appropriate designee of the person bearing these titles. Whenever references to the singular appear in this handbook, the plural is also intended; whenever the plural is used, the singular is also intended.

These policies apply to both graduate and undergraduate students. However, other University rules and regulations may apply. The rights and responsibilities that follow take effect immediately upon publication of this document.

This document, The University of Miami Miller School of Medicine Student Rights and Responsibilities, outlines the policies regulating academic life for all UMMSM medical students. However, we are a part of the larger University of Miami community. The complementary document, the University of Miami Student Rights and Responsibilities Handbook, published by the University at large, pertains to UMMSM medical students whenever applicable policies are not found in this document. A copy of the University of Miami Student Rights and Responsibilities Handbook is available on the University of Miami website at:


The document is well indexed for ease of use. In the event that conflicts occur between documents, the UMMSM manual supersedes all others.
MEDICAL EDUCATION ADMINISTRATION

The educational mission of the University of Miami Leonard M. Miller School of Medicine is to graduate physicians with the ability and commitment to improve the health of all populations through their leadership in patient care, research, education, and the community. The vision is to empower our graduates to transform lives and inspire to serve our global community. The medical education administration is structured to serve this mission and vision.

Medical students will most frequently interact with the teaching faculty and the medical education administration. The structure of the medical education administration on the Miami campus and the Regional Medical Campus is shown below. A brief description of each administrator’s responsibilities is given to direct students to the appropriate resource for assistance.

Henri R. Ford, M.D., M.H.A., Dean
is the chief academic officer and oversees the overall education and research missions of the medical school in collaboration with the Senior Vice President for Health Affairs/CEO of the UHealth system.

Latha Chandran, M.D., M.P.H., Executive Dean for Education and Policy
is directly responsible for the education mission of the medical school- undergraduate, graduate and continuing medical education.

MEDICAL EDUCATION ADMINISTRATION, MIAMI MEDICAL CAMPUS

Ana E. Campo, M.D., Associate Dean for Student Affairs
oversees many of the administrative operations of the medical education programs including enrollment, grades and records, promotions, discipline, and institutional compliance.

Hilit F. Mechaber, M.D., Associate Dean for Student Services
oversees student support services, including academic and personal counseling, career guidance, and student health services.

Gauri G. Agarwal, M.D., Associate Dean for Clinical Curriculum
oversees the clinical curriculum on both campuses and its evaluation.

Richard L. Riley, Ph.D., Associate Dean for Preclinical Curriculum
oversees the first and second year basic science curriculum and its evaluation.

Richard Weisman, Pharm.D., Associate Dean for Admissions and Enrollment
oversees the admissions process and is responsible for all matters related to student application, acceptance to the medical school and financial assistance.

Chris Alabiad, M.D., Assistant Dean for Student Affairs
assists the associate dean for student affairs in overseeing grades and records, promotions, discipline, and institutional compliance.
Amar Deshpande, M.D., Assistant Dean for Medical Education
plays a lead role in the assessment of competency across the continuum of education, from undergraduate medical education (medical student education) to graduate medical education (residency training).

Marie Denise Gervais, M.D., Assistant Dean for Admissions and Diversity
assists the associate dean for admissions in matters related to student application and acceptance to the medical school in addition to overseeing initiatives to enhance admissions of diversity candidates to the medical school.

Roderick King, M.D., M.P.H., Director, M.D./M.P.H. Program
oversees the entire MD/MPH program in addition to public health curriculum in both the MD/MPH and MD programs.

MEDICAL EDUCATION ADMINISTRATION, REGIONAL MEDICAL CAMPUS

Daniel M. Lichtstein, M.D. Regional Dean for Medical Education
is responsible for all education missions of the UMMSM regional medical campus, including both undergraduate and graduate medical education.

Gauri Agarwal, M.D., Associate Dean for Clinical Curriculum
oversees the curriculum at the regional medical campus and its evaluation.

Julie Belkowitz, M.D., M.P.H., Assistant Regional Dean for Student Affairs
oversees many of the administrative operations of the medical education programs at the regional medical campus including grades and records, promotions, discipline, and institutional compliance.

Amy Zito, M.D., Assistant Regional Dean for Student Services
oversees most student support services on the regional medical campus, including academic and personal counseling, career guidance, and student health services.

Figure 1. Medical Education Administration, Main Medical Campus
Figure 2. Medical Education Administration, Regional Medical Campus

Henri R. Ford, M.D., M.H.A.
Dean and
Chief Academic Officer

Latha Chandran, M.D., M.P.H.
Executive Dean for
Education and Policy

Daniel M. Lichtstein, M.D.
Regional Dean for
Medical Education

Gauri Agarwal, M.D.
Associate Dean for
Clinical Curriculum

Julie Belkowitz, M.D., M.P.H.
Assistant Regional Dean for
Student Affairs

Amy Zito, M.D.
Assistant Regional
Dean for Student
Services
MEDICAL STUDENT RIGHTS AND RESPONSIBILITIES

This UMMSM Medical Student Rights and Responsibilities Handbook outlines policies and procedures regulating academic life for students enrolled in medical degree programs at the University of Miami Miller School of Medicine. The handbook is organized into the following sections:

Section I: Medical Student Conduct
Section II: Technical Standards
Section III: Grading and Evaluation
Section IV: Disciplinary Policies
Section V: Other Policies
Section VI: Student Support Services

The rights and responsibilities contained in this handbook pertain to all medical students, regardless of program or campus affiliation. At times this handbook will refer to policies, procedures, or services that apply to students enrolled in a specific program or taking coursework away from the main medical campus. Such references are clearly noted.

UMMSM medical students must be familiar with and follow the policies and procedures that govern the institutions where they train, including the University of Miami Hospital, the Jackson Health System, the Veterans Affairs Medical Center, and other clinical partners. Such policies and procedures are made available on the medical education website under the heading "Important Administrative Documents for Students." The web address is: http://mededu.med.miami.edu/current-students/important-documents-for-students
SECTION I: MEDICAL STUDENT CONDUCT

Medical students must conduct themselves at all times in a professional, ethical and lawful manner. Through their actions, they are expected to uphold the academic integrity of the institution and the standards of conduct expected of all medical professionals. They are to respect the rights and property of all persons with whom they interact, both inside and outside the university community. They are to abide by local, state and federal laws.

The medical profession has a strong tradition of peer review and self-discipline. There are two programs that provide guidance and advocacy to students regarding appropriate professional conduct and behavior: the Physicianship and Professionalism Advocacy Program and the Code of Honorable and Professional Conduct.

PHYSICIANSHIP AND PROFESSIONALISM ADVOCACY PROGRAM

The Physicianship and Professionalism Advocacy Program (PPAP) provides one of several formal mechanisms to monitor, evaluate and improve the professional conduct and behavior of medical students. This program allows students, faculty and administration to promote the highest levels of professional conduct in medical students and to express concerns when the standards of conduct are not upheld. A complete description of the PPAP is included in Appendix I of this handbook.

The professional attributes by which students are evaluated include, but are not limited to, the following:

- Honesty and Integrity
- Responsibility, Reliability, and Dependability
- Respect for Colleagues, Faculty, and Staff
- Altruism
- Empathy
- Commitment to Competence and Excellence
- Self-Assessment and Self-Improvement
- Respect for Patients

THE CODE FOR HONORABLE AND PROFESSIONAL CONDUCT

The Code of Honorable and Professional Conduct (Honor Code) described in Appendix II of this handbook should serve as a guide to medical students in matters related to academic integrity and professional conduct. The Honor Code provides mechanisms for peer evaluation and support, which the faculty and administration believe is an essential component of medical education and the development of medical students.

The Council for Honorable and Professional Conduct (CHPC) promotes awareness of the Honor Code and the principles it embodies. The Council has no executive authority, but serves in an advisory role to students, the faculty and the administration.

The faculty and administration of the UMMSM endorse the Honor Code. However, the process of peer review and support does not negate or diminish the right and obligation of the faculty and administration of the UMMSM to maintain the academic integrity of the
institution, to enforce the policies of the university, and to ensure that students act in accordance with the ethical and professional standards of the medical profession.

THE LEARNING ENVIRONMENT

The teachers and learners of medicine share a responsibility to foster a learning environment that upholds the highest moral and ethical values of the medical profession. The faculty and administration of the University of Miami Miller School of Medicine support the following compact between teachers and learners of medicine. By accepting a position at the UMMSM, students pledge to uphold this compact.

COMPACT BETWEEN TEACHERS AND LEARNERS OF MEDICINE
Association of American Medical Colleges

Preparation for a career in medicine demands the acquisition of a large fund of knowledge and a host of special skills. It also demands the strengthening of those virtues that undergird the doctor/patient relationship and that sustain the profession of medicine as a moral enterprise. This Compact serves both as a pledge and as a reminder to teachers and learners that their conduct in fulfilling their mutual obligations is the medium through which the profession inculcates its ethical values.

GUIDING PRINCIPLES

DUTY

Medical educators have a duty, not only to convey the knowledge and skills required for delivering the profession’s contemporary standard of care, but also to inculcate the values and attitudes required for preserving the medical profession’s social contract across generations.

INTEGRITY

The learning environments conducive to conveying professional values must be suffused with integrity. Students learn enduring lessons of professionalism by observing and emulating role models who epitomize authentic professional values and attitudes.

RESPECT

Fundamental to the ethic of medicine is respect for every individual. Mutual respect between learners, as novice members of the medical profession, and their teachers, as experienced and esteemed professionals, is essential for nurturing that ethic. Given the inherently hierarchical nature of the teacher/learner relationship, teachers have a special obligation to ensure that students and residents are always treated respectfully.

COMMITMENTS OF THE FACULTY

We pledge our utmost effort to ensure that all components of the educational program for students and residents are of high quality.
As mentors for our student and resident colleagues, we maintain high professional standards in all of our interactions with patients, colleagues, and staff.

We respect all students and residents as individuals, without regard to gender, race, national origin, religion, or sexual orientation; we will not tolerate anyone who manifests disrespect or who expresses biased attitudes towards any student or resident.

We pledge that students and residents will have sufficient time to fulfill personal and family obligations, to enjoy recreational activities, and to obtain adequate rest; we monitor and, when necessary, reduce the time required to fulfill educational objectives, including time required for “call” on clinical rotations, to ensure students’ and residents’ well-being.

In nurturing both the intellectual and the personal development of students and residents, we celebrate expressions of professional attitudes and behaviors, as well as achievement of academic excellence.

We do not tolerate any abuse or exploitation of students or residents.

We encourage any student or resident who experiences mistreatment or who witnesses unprofessional behavior to report the facts immediately to appropriate faculty or staff; we treat all such reports as confidential and do not tolerate reprisals or retaliations of any kind.

**COMMITMENTS OF STUDENTS AND RESIDENTS**

We pledge our utmost effort to acquire the knowledge, skills, attitudes, and behaviors required to fulfill all educational objectives established by the faculty.

We cherish the professional virtues of honesty, compassion, integrity, fidelity, and dependability.

We pledge to respect all faculty members and all students and residents as individuals, without regard to gender, race, national origin, religion, or sexual orientation.

As physicians in training, we embrace the highest standards of the medical profession and pledge to conduct ourselves accordingly in all of our interactions with patients, colleagues, and staff.

In fulfilling our own obligations as professionals, we pledge to assist our fellow students and residents in meeting their professional obligations, as well.

**COMMITMENTS OF UMMSM STUDENTS**

In accepting a position in the UMMSM, students become accountable to the University, to the Profession of Medicine, and to the patients they serve. Student responsibilities include:

- Commit themselves to the conscientious, respectful, non-discriminatory and thoughtful service of their patients
- Pursue excellence vigorously and independently in their lifelong education
- Educate their patients and colleagues
- Conduct themselves lawfully, and in a professional and ethical manner
• Notify the appropriate body in a timely manner of any problems that adversely affect their training, and to participate in the process of program improvement and development
• Pursue mental and physical support for any conditions that might compromise their educational goals or patient care
• Comply with the honor code established by the student body of the UMMSM
• Be familiar with and adhere to the rules and responsibilities outlined in this document.

ATTENDANCE

The faculty and administration agree that student attendance and participation in all scheduled learning sessions are important to students' academic and professional progress, and ultimate success in medical school. However, it is ultimately the responsibility of each course director to establish and clearly communicate the attendance policy for their course. It is the student's responsibility to adhere to each course's attendance policy. For required activities, students are expected to report on time and be prepared to start the activity as scheduled. Repeated tardiness or absenteeism may result in disciplinary action, up to and including dismissal from the medical degree program.

Students are expected to attend all mandatory educational sessions. However, situations arise in which absences are unavoidable. Students should understand that they are entering a profession that will place significant demands on their time and attention. Further, certain mandatory educational experiences cannot be made up or repeated. Not infrequently, students will be confronted with competing educational and personal interests that will require them to prioritize and make difficult decisions. Students should carefully weigh such decisions before requesting an excused absence. In most cases, excused absences will only be granted if the student has a significant unavoidable conflict and the missed activity can be made up.

EXAMINATION ATTENDANCE/CONDUCT

It is the responsibility of the faculty, administration and student body to uphold the academic integrity of the institution. The following policies have been implemented to maintain academic integrity and avoid giving an unfair advantage to any student.

All students are expected to sit for examinations at the scheduled start times. Students are expected to be in their seats, with personal items stored, at least 10 minutes prior to the start of an exam.

Students must follow instructions given to them by exam proctors. Failure to follow instructions may result in a grade of zero (0) for the exam.

Students who are more than 10 minutes late to an exam may be issued a Professionalism Incident Report. If there is no valid excuse for being late, the student may receive a Professionalism Evaluation Form placed in their academic record and/or may not be allowed to sit for the exam.
To request a planned absence from an examination ahead of time, students must receive permission from both the course director and the Office of Student Affairs at the appropriate campus. These are only granted in extenuating circumstances. Make up examinations cannot be done during class time.

Unexcused absences will result in a grade of zero (0) for the missed exam.

An excused absence from an examination will be rescheduled at the discretion of the course/clerkship director in consultation with the medical education administration. A rescheduled exam (including National Board of Medical Examiners (NBME) subject “shelf” exams) must not interfere with other course work and occurs within five (5) working days from the originally scheduled date. NBME subject (“shelf”) exams scheduled as part of remediation during the clerkship years occur during winter break, at the end of the academic year, or at the end of at least two weeks of unscheduled time.

**EXAMINATION PROCEDURES FOR PRE-CLERKSHIP COURSES**

Assessments (exams, quizzes) may be given either on-campus or remotely, depending on needs and circumstances. All exams will be taken by students on their own computers. It is the responsibility of the student to ensure that their computers are in good repair and meet standards for the computerized exams. In addition, student computers require a camera as, in some instances, exams may be proctored using webcam systems when administered remotely. Prior to each exam, the curriculum manager for that course and the Chief Proctor will provide a detailed set of instructions pertinent to that exam. Students are expected to be familiar with the instructions, whether the exam is given on-campus or remotely and follow the instructions.

During either an on-campus or remotely administered exam, students who encounter a computer/software malfunction or technology failure should immediately contact their proctor. The contact information for the proctor will be provided in the exam instructions.

Students who wish an exception to any aspect of exam policy, including changes in dates/times, must obtain a waiver from the Chief Proctor and the Associate/Assistant Deans for Student Affairs (Dr. Campo; Dr. Alabiad). Students who have authorized, official accommodations approved through the Office of Student Services (Dr. Hilit Mechaber) and/or Student Affairs should inform the Curriculum Program Manager prior to the start of each course and confirm arrangements prior to each exam.

**Students at the University of Miami Miller School of Medicine are expected to adhere to all rules of ethical conduct and professional behavior. This includes following the procedures for examinations and the instructions of proctors.** Failure to do so may result in invalidation of exams or disciplinary actions up to and including dismissal. The University of Miami Miller School of Medicine has a zero tolerance for failure to maintain academic integrity.

**OBSERVATION OF RELIGIOUS HOLIDAYS**

The UMMSM recognizes the importance of religious holidays, follows the University’s policies regarding observance of religious holy days and attempts to avoid scheduling exams or other graded activities on those special days. In the event that a mandatory
educational session, graded exercise or exam is inadvertently scheduled on a religious holiday, the administration will allow the student to complete the required activity at another time.

It is the responsibility of the student to notify instructors, coordinators and the administration well in advance of a major religious holiday, and to make arrangements to complete required work. Such arrangements should ideally be made prior to the start of the course.

It is the student’s obligation to provide faculty members with notice of the dates they will be absent due to observance of religious holy days, preferably before the beginning of classes but no later than the end of the first three class days. For religious holy days that fall within the first three class days, students must provide faculty members with notice no later than two class days before the absence. Missing a class due to travel plans associated with a particular religious holy day does not constitute an excused absence. Absences due to observance of religious holy days that are not pre-arranged with the relevant faculty member within the first three class days may be considered unexcused, and the faculty member may therefore prevent the student from making up examinations or assignments missed during the period of absence.

Students on clinical rotations should understand that becoming a physician includes learning to accept responsibility for one’s patients 24 hours per day, 365 days per year, except when alternatives for coverage have been obtained. Medical students on clinical rotations are required to participate in patient care activities on weekends as part of the medical team. Students who wish to modify their schedule for any reason, including observation of religious holidays, are obligated to seek an excused absence from the course director and arrange appropriate coverage. Ideally, notice would be given well in advance to better coordinate the clerkship schedule. Faculty members may not disadvantage students from participating in their said holidays. Clerkship faculty may enforce that a certain minimum number of days need to be met in order for the student to partake in the holiday. Students on clinical rotations also need to notify the Assistant/Associate Deans for Student Affairs of their request to be excused for religious holidays.

**PLANNED ABSENCE FROM MANDATORY NON-CURRICULAR ACTIVITIES**

Students must request excused absences from mandatory non-curricular activities (i.e. orientations, special school events) from the Associate/Assistant Dean for Student Affairs well in advance of the scheduled activity (at least one month). Requests must be submitted by official e-mail. Approval or denial of the request will be conveyed to the student by e-mail.

**ABSENCE FROM REQUIRED EDUCATIONAL ACTIVITIES IN PHASE 1 OR MS2 YEARS**

During the pre-clerkship years, an excused absence may not extend beyond five (5) consecutive class days. If a student requests additional time, they must meet with the Assistant/Associate Dean for Student Affairs to discuss a possible leave of absence or medical leave. The leave policy is outlined elsewhere in this handbook.
Planned Absences

1. Excused Absences
   - Observation of Religious Holidays: Refer to section above.

   - Presentation of research at a national or international meeting: The student **MUST** be the first author on the abstract and presenter of the research to qualify for an excused absence. Students must provide a copy of the letter of invitation and acceptance of the abstract for the meeting as well as a copy of the abstract itself demonstrating that the student is in fact the first author. Absences for these purposes do not count toward personal days.

   - As an **active participant** (not simply an attendant) officially representing the UMMSM at a meeting or other event: The Associate/Assistant Deans for Student Affairs must approve this excused absence. Absences for these purposes do not count toward personal days.

Remediation
Arrangement for make-up work should be coordinated in consultation with both the course/clerkship director and with the Associate/Assistant Deans for Student Affairs. Make-up Coursework and/or examinations must be completed within 5 business days of the absence, however, this work cannot be made up during normal scheduled course time.

1. Excused absences
   If course points were associated with mandatory activities, students with excused absences who submit make up work in a timely fashion (within 5 business days) will be credited for the work performed.

Exceptions to this policy **MUST** be approved by the Associate/Assistant Deans for Student Affairs and the course director. **For MS2 students only:** If Problem Based Learning (PBL) and/or Doctoring sessions are to be missed for other than the reasons above, the PBL and/or Doctoring Director must also approve an exception to this policy.

Unplanned Absence (Emergencies)
In the case of an unplanned absence due to an illness, family, personal or other emergencies, students are expected to notify both the medical education administration and the course director(s) as soon as possible by e-mail or telephone. If a student is need of assistance for an illness/ personal emergency they should contact the Associate/Assistant Deans for Student Affairs and/or the Associate/Assistant Deans for Student Services.

   - Illness: Students will be excused when ill. A **note from a medical professional is required when the absence is on a test or quiz day or in cases where the absence is in excess of 2 days.** When a note is required, this note should be sent to the Associate Dean for Student Services.

   - Family, personal or other emergencies: The decision to excuse an absence for a family, personal or other emergencies will be made by the course director, in
consultation with the medical education administration, on a case-by-case basis. Depending on the length of absence, remediation may be required as determined by the course director in consultation with the medical education administration and class promotions committee(s).

**Absence from Required Educational Activities in MS3 or MS4 Years**

**Planned Absences**
Arrangements can be made for planned absences at the discretion of the clerkship/elective director (refer to activities listed below). Absence requests must be submitted by e-mail. At the Miami campus, the request should be sent to the clerkship director and coordinator.

At the Regional Medical Campus (RMC), notification should be sent via the rmcestudentabsence@med.miami.edu email address AND the appropriate course director. On both campuses, requests should be made well in advance of the scheduled activity and PRIOR to the start of the clerkship. Final approval will be conveyed to the student via e-mail. Students are not excused until permission is provided via email from the clerkship director.

Activities that may be excused (at the discretion of the director):

- Medical appointments for routine care, including mental health care
- Personal event such as a wedding, funeral, graduation, etc.
- Presenting or representing UM at a conference (documentation is required as above in the preclinical absence section)
- Residency interviews (fourth year)
- USMLE Step Examinations (for Class of 2021 and Class of 2022)

Unexcused activities:

- Required activities for another rotation (unless granted permission from Office of Student Affairs)
- Attending a conference but not presenting or actively representing UMMSM (unless granted permission from the Office of Student Affairs)

**Unplanned Absences/ Emergencies**
In the case of an unplanned absence due to an illness, family, personal or other emergencies, students are expected to notify both the medical education administration and the clerkship director(s) as soon as possible by e-mail or telephone. If students need assistance for an illness and/ or personal emergency, they should contact the Associate/Assistant Regional Deans for Student Affairs and/or the Associate/Assistant Regional Deans for Student Services.

Illness: Students will be excused when ill. A note from a medical professional is required if the absence is **on a test or quiz day or in cases where the absence is** in excess of 2 days. When a note is required, this note should be sent to the Associate/Assistant (Regional) Dean for Student Services.
Family, personal or other emergencies: The decision to excuse an absence for a family, personal or other emergencies will be made by the clerkship director, in consultation with the medical education administration, on a case-by-case basis.

Remediation of Absences During the Clerkship Years
- Students absent 1-2 days: Must discuss potential remediation with the clerkship director.
- Students absent 3-5 days: If a student misses three or more days, the student must discuss a potential remediation plan with the clerkship director and the plan must be presented to the Junior Senior Promotions Committee (JSPC). The student will receive a grade of Incomplete for the rotation until the remediation plan is reviewed and approved by the JSPC. A student may choose to remediate missed days during the clerkship, but the grade will not be changed until the JSPC meets to discuss the absences. The JSPC may choose to alter or adjust the remediation plan.
- Students absent 6 or more consecutive days will be assessed for a leave of absence or medical leave on a case by case basis in discussion with the Associate/Assistant (Regional) Dean for Student Affairs. The leave policy is outlined elsewhere in this handbook.

DOCUMENTATION OF ABSENCES (ALL FOUR YEARS)

All absences from examinations and mandatory activities should be reported using the online Attendance Reporting System. The clerkship director and/ or coordinator will document the absence through the central absence tracking line (Miami campus: online absence system available on the mededu website http://forms.menedu.miami.edu/curriculum/view.php?id=97; RMC: rmcstudentabsence@med.miami.edu). The Office of Student Affairs at each campus will maintain a record of all excused and unexcused absences for students at their respective campuses.

A pattern of recurrent absences, whether excused or unexcused, will be reviewed by the class promotions committees and may result in disciplinary recommendations, up to and including dismissal.

DRESS CODE

As representatives of the medical profession, medical students are expected to convey a professional demeanor not only in their behavior but also in their dress and appearance. A professional image increases credibility, trust, respect, and confidence. Appropriate dress is also essential to maintain patient safety in the clinical setting. The following policy outlines appropriate dress for all UMMSM students.

GENERAL STANDARDS
The following standards are expected to be followed at all times regardless of setting:

- I.D. badges are to be worn at all times. This is necessary to ensure security and safety throughout the medical campus.
- Good personal hygiene is to be maintained at all times. This includes regular bathing, use of deodorants/antiperspirants, and regular dental hygiene.
• Excessive use of perfumes or colognes should be avoided.
• Hair should be neat, clean, and of a natural human color.
• Clothing should be clean, easily laundered and in good repair.

**Clinical Setting**
The following standards must be maintained in the clinical environment. Students who report to clinical activities who fail to meet these standards will be sent home and a PIR will be submitted.

• Easily laundered professional clothing such as scrubs.
• Shoes must be comfortable, clean, closed toe, and in good repair.
• Hair: shoulder length hair must be secured to avoid interference with patients and work.
• Fingernails should be clean and of short to medium length. *Acrylic or artificial nails are not allowed in clinical settings, particularly in the operative setting, due to an increased risk of nosocomial infections.*
• Jewelry: keep jewelry at a minimum (represents potential for infection and loose jewelry can be grabbed.)

**Scrubs**
Scrubs must be worn in clinical settings that require them. They may be worn in other patient care areas if permitted by the policies of the clinical service. Scrubs that are the property of the hospital are not to be defaced, altered or removed from the hospital. Shoe covers, masks, and hair covers must be removed before leaving the clinical area. Stained or soiled scrubs must be changed as soon as possible. It is a requirement to return the scrubs at the conclusion of the rotation. This is a graduation requirement.

**Prohibited Items**
The following items are specifically prohibited for all UMMSM students on the medical campus:

• No shorts, unless they are of a modest length and fitting and have pockets
• No midriff tops, halters, translucent or transparent tops, shirts or tops with plunging necklines, tank tops in clinical settings
• No clothing with profanity or lewd language

**Unprofessional Dress**
Failure to comply with the dress code is inappropriate for a UMMSM medical student and will result in counseling and possible disciplinary action, including but not limited to those outlined in the section on evaluation and promotion. Violations of the dress code should be brought to the attention of the student by the immediate supervisor or through the PPAP process.

Repeated or flagrant violations should be brought to the attention of the Associate/Assistant Deans for Student Affairs or the Assistant Regional Dean for Student Affairs who shall discuss the infraction with the student involved and make recommendations when deemed appropriate.
SCHOOL COMMUNICATION

It is vitally important for students to make themselves available for contact and timely response to official school communications through various means, including their official school e-mail address and/or cell phone, home phone number and home mailing address.

Students are required to maintain current contact information in CaneLink.

Students should check their e-mail on a regular basis and must respond to official e-mail communications within 72 hours. Failure to adhere to this may result in disciplinary measures.

SOCIAL NETWORKING SITES

Social networking sites such as Facebook, Instagram, Snapchat, Twitter, TikTok and others present unique challenges to medical professionals, including medical students. None of these sites are truly private, and once out on the Internet, information and images cannot be recalled or controlled.

Confidentiality forms the core of the doctor-patient relationship. Without confidentiality, patients will hesitate to confide in their physicians, and physicians will not be able to make well-informed decisions. Further, reported breaches in confidentiality erode the public’s trust in medical professionals.

Sharing patient care experiences between medical professionals can be important for learning and personal growth. However, such communications must be done in the proper setting and in accordance with federal privacy laws (HIPAA). The UMMSM Code for Honorable and Professional Conduct states, “The confidentiality of patient information must be maintained at all times. Medical and personal information about the patient should be shared only with health professionals directly involved in patient care. Students shall not disclose information to any individual without explicit consent, nor should they discuss patient care in public areas.”

In keeping with the Honor Code, medical students are expressly forbidden from sharing patient information or images on any social networking site. Failure to comply with this policy is grounds for dismissal from the UMMSM.

For their own protection, students are encouraged to take significant care when posting personal information and pictures on social networking sites that may depict them in ways that are deemed unprofessional or inappropriate for medical professionals. Such information or images can have a negative impact on the community’s perception of medical professionals in general, and on UMMSM medical students in particular. It can also have a detrimental impact on the student’s educational or professional career. Information or images posted on social networking sites that show a student violating the law or acting in a way that is not consistent with the standards of the medical profession may be taken into consideration by the medical education administration, class promotions committees and/or Council for Honorable and Professional Conduct when determining the student’s suitability for promotion, graduation and awarding of the medical degree. Students must abide by all federal copyright laws.
Students may not post online or distribute outside the Miller School of Medicine any curricular material (lecture slides, handouts, notes, photographs, syllabi, exams, etc.) without the written permission of the Senior Associate Dean for Undergraduate Medical Education and the intellectual property owner of such material.

Summary of DO’s and DON'Ts:

- Do NOT use the University logo or any other official University images on personal social media sites for the purpose of appearing to be an officially sanctioned University or affiliated site without written consent from the Office of UHealth/Miller School of Medicine Marketing. Contact the Associate/Assistant (Regional) Deans for Student Affairs for further questions about this. For personal websites, blogs, online publications, etc. students MAY identify themselves as a University of Miami Miller School of Medicine student in terms of biographical information. However, if the name of the institution is used, the following disclaimer must be posted with that information:
  
  “the views represented in this website are my (our) own and not that of the University of Miami. The University of Miami has neither endorsed, approved nor reviewed this website."

- Materials created for use by an interest group, extracurricular activity, etc., must be reviewed by medical education administration [Dr. Campo (Miami campus)/ Dr. Belkowitz (RMC)] or the public health department [Ms. Begona Rovira] (as applicable) for approval PRIOR to using the university name/affiliation. Approval must be obtained via official university email from Dr. Campo/ Belkowitz or Ms. Begona Rovira.

- Do NOT use the University of Miami’s name to promote a product, cause, or political party or candidate.

- University computers are reserved for University related business.

- Do NOT post personally identifiable information or protected health information, including identifiable photographs of patients, students, employees, donors, applicants, or alumni unless you have explicit permission/authorization to do so in writing.

- Do NOT post confidential University information including but not limited to research material, financial material, or other information not meant for public disclosure.

- Less is more- be aware that personal information you place on social network sites can be harvested and used for ID theft, phishing or target malware attacks-against you and the institution. Furthermore, future employers (i.e. residency programs) may also view your sites if not privacy protected.

- Do NOT post in haste- be aware that information and photos are simple to post but almost impossible to delete or recall.

- Take the time to read and understand the terms, conditions and privacy controls. Use the privacy controls to restrict who can see your information. Be careful about who you accept as a “friend” or “follower.”

The Miller School of Medicine’s policy on social networking can be found at: http://privacyoffice.med.miami.edu/documents/Social_Networking_Med.pdf

**INTERACTIONS WITH INDUSTRY**

The UMMSM continues to maintain the highest grade from the American Medical Student Association for its conflict of interest policies governing interactions between students or faculty and health industry entities. All outside activities by faculty are disclosed on a
publicly accessible website, pharmaceutical samples are prohibited, industry representatives may only meet with faculty by appointment, and compliance and appropriate course of action for violation of policies have been clearly outlined.

Under the provisions of the Miller School policy, faculty members may not participate in industry-sponsored promotional events, presentations or lectures, and may consult if the activity is not promotional in nature or otherwise excluded by University of Miami Medical Group or University policy.

Students are to adhere to the same polices. Furthermore, financial assistance for scholarships or other educational funds to permit medical students, residents, fellows, and other healthcare professionals in training to attend carefully selected educational conferences or to sponsor any part of their training may be offered, so long as the selection of individuals who will receive the funds is made by the academic or training institution and the funding is provided to the department or division, with no direct support to the trainee(s). "Carefully selected educational conferences" are generally defined as the major educational, scientific, or policy-making meetings of national, regional, or specialty medical associations.

**PROGRAM EVALUATION**

The UMMSM highly values the process of formal program evaluation and feedback.

UMMSM students are required to complete all course evaluations and program evaluation surveys distributed by the medical education administration.

There are three important surveys distributed by the Association of American Medical Colleges (AAMC), the Matriculating Student Questionnaire (MSQ), the Year 2 Questionnaire (Y2Q) and the Graduation Questionnaire (GQ). Although personally identifiable information is made available to the AAMC, such information is NOT made available to the Miller School of Medicine. Rather, the school only receives aggregate data. These surveys are optional; however, we highly encourage students to complete the AAMC MSQ at matriculation, the Y2Q after the NS2 year and the AAMC GQ prior to graduation. These surveys are used to evaluate and improve the educational program that leads to the medical degree as well as all student support services.
SECTION II: TECHNICAL STANDARDS

Medical education requires that the accumulation of scientific knowledge be accompanied by the simultaneous acquisition of skills and professional attitudes and behaviors. The medical degree awarded by the University of Miami Miller School of Medicine at the completion of the undergraduate medical education process certifies that the individual has acquired a broad base of knowledge and skills requisite for the practice of medicine. To this end, all courses in the curriculum must be completed successfully. In order to acquire the knowledge and skills to function in a broad variety of clinical situations and to render a wide spectrum of patient care, candidates for the MD degree must have abilities and skills in five areas:

- Observation
- Communication
- Motor
- Conceptual-Integrative
- Behavioral-Social

Technological compensation can be made for some disabilities in certain of these areas, but a candidate should be able to perform in a reasonably independent manner, with or without reasonable accommodations. Students who believe they may qualify for assistance under the Americans with Disabilities Act should refer to that section for more information.

**Observation**

The candidate must be able to observe demonstrations and experiments in the basic sciences including, but not limited to, physiological and pharmacological demonstrations, microbiologic cultures, and microscope studies of micro-organisms and tissues in normal and pathologic states. A candidate must be able to observe a patient accurately at a distance and close at hand. Observation requires the functional uses of the senses of vision and other sensory modalities.

**Communication**

A candidate must be able to elicit information from patients, describe changes in mood, activity and posture, and perceive nonverbal communications. A candidate must be able to communicate effectively and sensitively with patients. Communication includes not only speech, but reading and writing. The candidate must be able to communicate effectively and efficiently with all members of the health care team in both immediate and recorded modes.

**Motor**

Candidates should have sufficient motor function to elicit information from patients by palpation, auscultation, percussion and other diagnostic maneuvers. A candidate should be able to do basic laboratory tests (urinalysis, CBC, etc.), carry out diagnostic procedures (thoracentesis, paracentesis, etc.), and evaluate ECGs and X-rays. A candidate should be able to execute motor movements reasonably required to provide general care and
emergency treatment to patients. Examples of emergency treatment reasonably required of physicians are cardiopulmonary resuscitation, the administration of intravenous medication, the application of pressure to stop bleeding, the opening of obstructed airways, the suturing of simple wounds and the performance of simple obstetrical maneuvers. Such actions require coordination of both gross and fine muscular movements, equilibrium, and functional use of the senses of touch and vision.

**CONCEPTUAL-INTEGRATIVE**

These abilities include measurement, calculation, reasoning, analysis, synthesis, and retention of complex information. Problem solving, the critical skill demanded of physicians, requires all of these intellectual abilities. In addition, the candidate should be able to comprehend three-dimensional relationships and to understand the spatial relationships of structures.

**BEHAVIORAL-SOCIAL**

Candidates must possess the emotional health required for the full use of their intellectual abilities, the exercise of good judgment, the prompt completion of all responsibilities attendant to the diagnosis and care of patients, and the development of mature, sensitive and effective relationships with patients. Candidates must be able to tolerate physically taxing workloads and to function effectively under stress. They must be able to adapt to changing environments, display flexibility and learn to function in the face of uncertainties inherent in the clinical problems of many patients. Compassion, integrity, concern for others, interpersonal skills, interest and motivation are all personal qualities that are assessed during the admissions and education process.
SECTION III: GRADING AND EVALUATION

Medical schools are obligated to graduate physicians with the knowledge, technical skills and professional behaviors necessary to practice medicine and improve the nation’s health. In keeping with that contract, medical students at the UMMSM are evaluated in three areas: academic performance, professional and ethical behavior, and technical standards. Passing grades alone does not guarantee promotion or graduation.

GRADING SYSTEM

For the Classes of 2021, and 2022: The UMMSM uses a numeric score during the MS3 and MS4 years for core and required courses. Most MS4 courses are graded Pass/Fail, without numeric scores. Course directors will determine the minimum passing score in their courses. The grade of “F” (failing) will be assigned to students who have completed all required coursework and failed to achieve the minimum passing score. The grade of “I” (incomplete) will be assigned to students who have not completed all required coursework. A grade of "I" will not to be used to identify students with poor academic performance. Students who withdraw from a course will be given either a “W-P” (withdraw-passing) or “W-F” (withdraw-failing) depending on their course average at the time of the withdrawal. A marginal grade of D can be given by the course coordinators to designate a passing but unsatisfactory performance.

For the Classes of 2023 and 2024: The UMMSM uses a Pass/Fail grade for NextGenMD phase 1 for the Class of 2024 and the MS2 year for the Class of 2023. The UMMSM uses a numeric score during the MS3 year (for Class of 2023). Most MS4 courses are graded Pass/Fail, without numeric scores. Course directors will determine the minimum passing score in their courses. The grade of “F” (failing) will be assigned to students who have completed all required coursework and failed to achieve the minimum passing score. The grade of "I" (incomplete) will be assigned to students who have not completed all required course work. A grade of "I" will not to be used to identify students with poor academic performance. Students who withdraw from a course will be given either a “W-P” (withdraw-passing) or “W-F” (withdraw-failing) depending on their course average at the time of the withdrawal. A marginal grade of D can be given by the course coordinators to designate a passing but unsatisfactory performance.

Marginal “D” Grades

Course directors can designate a student’s performance for the grade report as a marginal passing grade of “D”. While not failing, a grade of D identifies an unsatisfactory performance for graduate level training and could result in a recommendation by the course director for the student to perform remedial work. Class promotions committees will review the academic progress of students who earn a D grade. Earning multiple marginal D grades may result in a recommendation by a promotions committee for the student to repeat a course or courses, repeat an academic year, or be dismissed from the UMMSM (see chart located in the section on Promotions Committees)

Marginal D grades are used for internal purposes only and appear only the grade report. Marginal D grades are recorded as a passing grade (P) on the official UMMSM transcript.
REMEDIATION OF FAILING OR INCOMPLETE GRADES

All students who receive a failing or incomplete grade will be so notified by the course director, who must also notify the Associate/Assistant Deans for Student Affairs. Clerkship directors on the Regional Medical Campus must notify the Assistant Regional Dean for Student Affairs. All grades of “F” and “I” must be resolved to a passing grade before a student may progress to the next academic year. A failing grade must be improved to a passing grade by a) completing remedial work at the discretion of the class promotions committee in consultation with the course coordinator, or b) repeating the course. All incomplete grades (“I”) must be resolved by completing required course work. Once a student has remediated the “F” grade, the grade will be changed to an “R” (remediated). Make-up work will be scheduled during the academic year at the discretion of the class promotions committees and the course directors. Remediation and make-up examinations cannot interfere with scheduled courses.

Class of 2023 students in the MS2 year will have an opportunity to remediate:

- During a two-week remediation period scheduled at the end of the academic year.
  
  - This remediation period is posted on the official academic calendar at the start of each academic year. Note that remediation exams for MS2 courses WILL NOT be administered prior to the first Friday of remediation week 1.

Class of 2024 students in Phase 1 will have an opportunity to remediate:

- Students with marginal performances within a component of a course will have a Personal Improvement Plan communicated to them through their Longitudinal Clinical Educator (LCE)

- Students will have an opportunity to remediate failed coursework from Phase 1A, 1B, and the first half of 1C after MDR 524 (Signs and Symptoms of Disease 5), a period that coincides with the 8-week scholarly concentration block.

- In certain cases (eg. student fails MDR 525 or if unremediated coursework remains after the scholarly concentration block), a student will be required to delay the start of phase 2 (by no less than 12 weeks) to complete and/or successfully remediate ALL components of Phase 1 A-C.

- Students will not be promoted to Phase 2 until satisfactory completion of all Phase 1 core academic courses, Phase 1 MAP courses, and Milepost 3 clinical competency exercises.

- In the case that repeating course work does not allow for graduation within four years, strong consideration will be given for the student to repeat the entire P1 curriculum.
Students failing remediation of pre-clerkship courses will be required to repeat that course in its entirety during its next offering in the following academic year. Course directors set the passing score for all exams.

**Remediation of National Board Medical Examiners Subject (“Shelf”) Exams**
The remediation of failed National Board of Medical Examiners subject (“shelf”) exams during the clinical years can only be done during mid-year or end of year break times or at the end of a 2-week block of unscheduled course work. The passing score for clerkship/discipline shelf exams is described in the clerkship syllabi.

**STUDENT EVALUATION**

In addition to numerical and letter grades, students will receive a narrative evaluation of their performance at the completion of all core and most required clinical rotations. Performance evaluations are maintained in the Office of Student Affairs on both campuses and can be reviewed on EValue. Students are encouraged to review their evaluations on a routine basis. Evaluations are summative in nature and are used in the Medical Student Performance Evaluation (MSPE, also known as the “Dean’s Letter). Therefore, students are encouraged to review their evaluations on a routine basis. Students who disagree with the content of an evaluation should follow the grievance process outlined below.

**COMPETENCY-BASED LEARNING**

Some components of the medical curriculum use a competency-based approach to learning and evaluation. Student progress is measured by completion of a series of assigned tasks related to the competencies and outcomes required of our graduates. Competency-based activities are graded Pass (P) or Fail (F). Students will be allowed to advance once they have demonstrated mastery of the required competency. Students who fail to demonstrate competency in a given activity, during the initial assessment, will be required to remediate until competency has been achieved and will be discussed by the appropriate class promotions committee. Failure to demonstrate competency in a specific activity after repeated attempts at remediation may result in a delay in advancement and will also be discussed by the appropriate class promotions committee. There are occasions when students must demonstrate satisfactory mastery of defined competencies in order to be promoted to the next year of study.

**GRIEVANCE PROCESS**

Course directors have the right to determine the process for determining grades and evaluations in their respective courses. Students who disagree with an assigned grade or evaluation may, within one year of receiving the grade or evaluation, request a change in accordance with the following process:

Requests for a change of grade/evaluation must first be directed to the course director. The request must be submitted by official e-mail. The reason(s) for the request should be clearly stated.

In addition to a written request, the student should meet in person with the course director to discuss the request. The course directors should respond to the student, by e-mail, within 30 days.
If the course director agrees with the change in grade or evaluation, s/he must submit the appropriate change of grade form to the Office of Student Affairs.

If the student disagrees with the decision of the course director, s/he may request for the Associate/Assistant Deans for Student Affairs (ADSA) or the Assistant Regional Dean for Student Affairs to review the matter.

The request must be submitted by official e-mail. The request should include all relevant information, including the written response of the course coordinator.

The ADSA will review the request and confer with the student and with the course director. The ADSA will respond to the student, by official e-mail, within 30 days.

Students may seek advice from the UMMSM ombudsman at any time during this process.

If a student does not agree with the ADSA’s response, s/he may submit a written rebuttal for inclusion in their education record as allowed by FERPA guidelines.

**REPORTING OF GRADES**

There are two forms by which grades can be reported, one for internal use and one for external use. Students can access and download their grade reports using CaneLink, Medtrics (for Class of 2024 only) EVValue (for all other classes) or by contacting the clerkship office directly. They can also request a copy of their grade report from the Office of Grades and Records. The grade report is only used internally and is separate and distinct from the official university transcript.

**Grade Reports**

Grade Reports are internal documents made available to the student and the promotions committees to evaluate the student’s academic progress. Grade reports include the following: student’s module and section grades, including any marginal passing (D) grades; credits taken and earned; and course data (median, high and low scores). Grade reports are for internal use only and are not shared with external agencies.

**Transcripts**

Transcripts are official university documents that report grades and calendar dates for all courses taken during medical school. Transcripts include course names, course numbers, credit hours taken, credit hours earned, the numeric percentage score (as appropriate), and the letter grade. Marginal grades of D are reported as passing (P) on the school transcript. Failing grades that have been successfully remediated are reported as “R” and, if applicable, with the original numeric score. Students who are required to repeat a course will have that fact and both grades reported on the transcript. Transcripts report the student’s weighted grade point average for each semester and academic year. Transcripts also report any leaves of absence that cause a delay in graduation. The back of each transcript describes the UMMSM’s grading system in detail. Official transcripts can be obtained through the Office of Grades and Records.
Class Rankings

For the Classes of 2021 and 2022: The Office of Grades and Records calculates each student’s class quartile ranking at the end of each academic year. A separate but consistent quartile ranking is done for each cohort (MD or MD/MPH). Quartile rankings are reviewed by the promotions committees and may be used to determine the student’s eligibility for certain honors and awards. The student’s quartile rankings are not reported on the official school transcript. The student’s overall quartile is used in combination with leadership, research and student life involvement to determine a final descriptive wording reported in the Medical Student Performance Evaluation.

For the Class of 2023: The Office of Grades and Records calculates each student’s class quartile ranking at the end of the third year (clerkship year) on the basis of performance on third year core clerkships/RMC clinical disciplines alone. A separate but consistent quartile ranking is done for each cohort (MD or MD/MPH). Quartile rankings are reviewed by the promotions committees and may be used to determine the student’s eligibility for certain honors and awards. The student’s quartile rankings are not reported on the official school transcript.

For the Class of 2024: The Office of Grades and Records calculates each student’s class quartile ranking at the end of the phase 3 on the basis of performance on phase 2 core clerkships clinical disciplines and phase 3 advanced courses. Quartile rankings are reviewed by the promotions committees and may be used to determine the student’s eligibility for certain honors and awards. The student’s quartile rankings are not reported on the official school transcript.

Licensing Examinations

Graduation Requirements for the USMLE Step Examinations

Students must pass Step 1 of the USMLE in order to graduate from UMMSM. Students must take the USMLE Step 2 CK examination prior to graduation. The Office of Student Affairs will verify scheduled examination dates. Students are strongly encouraged to sit for Step 2CK by December 31 of the senior year. Students are not required to pass the Step 2 exams in order to graduate.

Information about the USMLE can be found at websites operated by the National Board of Medical Examiners (NBME): www.nbme.org, and the USMLE: www.usmle.org.

UMMSM SPECIFIC USMLE POLICIES

In order to be licensed to practice medicine in the United States, all physicians must pass the United States Medical Licensing Exam (USMLE) Step 1, Step 2 Clinical Knowledge (CK), Step 2 Clinical Skills (CS) and Step 3. A student must be certified as enrolled in order to sit for the USMLE Step 1, 2 CK and 2 CS. All UMMSM students must sit for the USMLE Step 1 exam before beginning any third year clerkships (except as described for the Class of 2022 below). Students are responsible for scheduling licensing examinations at the appropriate time. Failure to take the USMLE Step 1 exam at the appropriate time will delay the student’s entrance into the third year and possibly delay graduation.
USMLE Step 1 Policy Specific for the Class of 2022 MD and MD/MPH Programs (First Attempt/Repeated Attempts):

- Students are permitted to begin clinical rotations prior to taking the USMLE Step 1 exam.

- All students are expected to sit for the first attempt of their Step 1 exam by the end of the last five-week block of the academic year (6/27/21). If a student has not taken Step 1 by this date, they will not be permitted to start any further rotations until they have taken the Step 1 Examination.

- If a student fails the Step 1 examination before 6/27/21, the student may continue rotations until 6/27/21, however, they will not be permitted to proceed with any further rotations until they submit a passing score.

- For students who fail their first attempt during year 3, eligible periods of time to retake the Step 1 exam during the 2020-2021 academic year are the winter block (12/7/20-1/3/21) and the last five-week block (5/24/21-6/27/21). Retake exams are not allowed during clerkships.

- If a student fails the Step 1 examination after 6/27/21, they will be allowed to complete their current rotation but will not be permitted to proceed with any other rotations until they submit a passing score.

- Students failing to submit a passing score by September 15, 2021, may significantly jeopardize their opportunity to successfully participate in the residency match process and will need to meet with their advising dean regarding the timing of their residency application.

- If submission of a passing score leads to a delay in graduation, a Leave of Absence, formally known as a Withdrawal With Intent To Return (WWITR) will be documented. This WWITR/leave of absence may be reported on the Medical Student Performance Evaluation (MSPE), also known as the “dean’s letter.”

- Students who fail the exam 3 times or those who do not submit a passing score by 6/27/22, may result in a recommendation for dismissal by the Medical Education Administration. A delay in taking (or passing) the Step 1 examination that leads to a delay in graduation will lead to documentation of a Leave of Absence, formally known as a Withdrawal With Intent To Return (WWITR). As mentioned above, students will not be eligible to graduate without submitting a passing score on the USMLE Step 1 examination, regardless of whether they have completed all other graduation requirements.

- There may be financial implications due to a delayed graduation. For these issues, the Director of Student Financial Assistance should be the main point of contact. It is important to know that if a student receives a disbursement of federal loans and for some reason the student does not return to class for the semester, the student
will be required to repay any financial aid disbursements received. Failure to do so in such cases will impact the student’s ability to procure future federal aid.

- Students should contact the NBME directly to find out the financial and scheduling implications of delaying the examination window and availability to reschedule, etc. if considering delaying.

- We strongly encourage students to seek support for any circumstances, stressors, physical or behavioral health issues that may impact their ability to study for the exam and lead to a concern that the existing study period is not sufficient. On the Miami campus, the Associate Dean for Student Services and the Behavioral Health Services are available; once students transition to the Regional Medical Campus, the Assistant Regional Dean for Student Services fulfills a similar role, and counseling services are available through Henderson Student Counseling.

**USMLE Step 1 Policy Specific for Class of 2023 (First Attempt):**

For **ALL** students in **ALL** MD degree programs taking additional USMLE Step 1 Examination study time:

- There may be financial implications of a delayed start to the MS3 year and/or delayed graduation. For these issues, the Director of Student Financial Assistance should be the main point of contact. It is important to know that if a student receives a disbursement of federal loans and for some reason the student does not return to class for the semester, the student will be required to repay any financial aid disbursements received. Failure to do so in such cases will impact the student’s ability to procure future federal aid.

- Students should contact the NBME directly to find out the financial and scheduling implications of delaying the examination window and availability to reschedule, etc. if considering delaying.

- We strongly encourage students to seek support for any circumstances, stressors, physical or behavioral health issues that may impact their ability to study for the exam and lead to a concern that the existing study period is not sufficient. On the Miami campus, the Associate Dean for Student Services and the Behavioral Health Services are available; once students transition to the Regional Medical Campus, the Assistant Regional Dean for Student Services fulfills a similar role, and counseling services are available through Henderson Student Counseling.

- **A student must pass the Step 1 Exam within one year of completing second year of the medical curriculum. Failure to meet this deadline will result in a recommendation for dismissal from the UMMSM for failure to make satisfactory academic progress by Medical Education Administration.**

- It is expected that all students will begin clinical clerkships on the first day of the MS3 year as defined by the UMMSM academic calendar, which allows for a designated study time period for the USMLE Step 1 Examination. While the study period provided is sufficient for most students, we recognize that some students
may request additional time. If a student intends to take an extended period of study for USMLE Step 1 Examination, then they must contact the Associate Dean for Student Services (MD) or the Assistant Regional Dean for Student Services (MD/ MPH) in writing to initiate this request. Students must also contact the Assistant/Associate Dean for Student Affairs (MD)/ Assistant Regional Dean for Student Affairs (MD/ MPH) in writing to discuss the policies and procedures. In addition, the student should be aware of the following implications of additional study time:

- By choosing to take additional study time, students will not be permitted to begin clerkships as described above. If a student delay in taking the USMLE Step 1 examination for the first time leads to a delay beyond their first core clinical clerkship or if it leads to a delay in graduation, they will be documented as being on a Leave of Absence, formally known as a Withdrawal With Intent To Return (WWITR). This WWITR/ leave of absence may be reported on the Medical Student Performance Evaluation (MSPE), also known as the “dean’s letter.”

- The earliest time students who delay their study period will be able to start their core clerkships will be 12 weeks after the start of the MS3 year. By delaying the start of the MS3 year, the student’s schedule will be altered based upon clerkship availability. At a minimum, students will take the final MS3 clerkship in Block 1 of the next academic year (start of the MS4 year). However, because of the limited capacity in the clerkships, students may need to delay taking a required core clerkship(s) until there is availability in the following academic year, potentially causing a delay in graduation. Even if the graduation date is not delayed, there are implications of moving MS3 core clerkships into the MS4 year including limited time to schedule sub-internships, externships, interviews and study for the USMLE Step 2 CK and CS Examinations.

- Students who delay Step 1 and are on WWITR beyond the first block of the academic year will be required to relinquish leadership positions in student government, student organizations and DOCS for the rest of the academic year.

- Any request for a leave of absence for research purposes/other academic pursuits between years 2 and 3 cannot commence until after the student has sat for the USMLE Step 1 examination.

**Specific Instructions for students in the MD/ MPH Dual Degree Program**

- Students in the MD/MPH program may not sit for the USMLE Step 1 Examination between the first day of the MPH course block and the start of the M3 year (this includes the weekend before the start of MS3 orientation/Transition to Clinical Rotations 1-week course and weekend before the start of the first clerkship). That means that once the MPH course block begins, students are expected to be fully present and focused on the MPH courses. After the block ends, students will be expected to attend and fully participate in the MS3 Orientation/Transition to Clinical Rotations week. After this transition course ends students may resume full time studying. The earliest time students who delay their study period will be able to start their core clerkships will be 12 weeks after the start of the MS3 year.
• There are also longitudinal courses that take place throughout the third year, including the MPH Public Health Seminar and the Transitions to Residency learning community afternoon. Students off for USMLE Step 1 Examination study may need to attend these sessions or be excused, depending on the session and the discretion of the course directors. If they are excused, they must complete a make-up assignment and/or attend those sessions in the following academic year. If assignments/class sections are delayed until the next academic year, students will receive a grade of incomplete until all of their course requirements have been met.

Specific Instructions for Students in the MD Program

• Students in the MD program who delay their USMLE Step 1 Examination Study period must return to participate in both the Transitions to Clinical Rotations Course and MS3 orientation before continuing with additional USMLE Step 1 Examination study.

USMLE Step 1 Policy Specific for Class of 2023 (Repeated Attempts):

In most cases, students who fail the USMLE Step 1 exam for the first time will be allowed to complete any clerkship/elective in progress at the time of learning of the exam failure. However, a student will not be allowed to begin any additional courses until they have retaken the Step 1 examination. The Associate/Assistant Dean for Student Affairs or the Assistant Regional Dean for Student Affairs in conjunction with the Associate Dean for Clinical Curriculum will coordinate necessary scheduling changes to allow students time to prepare to retake the exam.

Any student who fails the USMLE Step 1 examination for the second time will not be allowed to begin any additional courses without expressed written permission from the UMMSM by Medical Education Administration, or until successfully passing the Step 1 examination. Students who fail the Step 1 Exam for a second time will not be allowed to complete any course in progress at the time of learning of the exam failure.

COURSE EXEMPTION POLICY

Prior to matriculating in the UMMSM, some students may have successfully completed courses in the biomedical sciences that are equivalent to basic science courses offered during the first year of the medical curriculum. The faculty and administration of the medical school recognize that these students may not need to retake those courses. Students may therefore request a course exemption. In most cases, a student who has been exempted from a course will be asked to serve as a teaching assistant or lab instructor for that course. Students will not be granted more than two (2) course exemptions during their enrollment in the UMMSM.

All course exemptions must be authorized by the Associate Deans for Curriculum. To qualify for a course exemption, the student must provide the following documents to the Office of Student Affairs:
A letter that includes a formal request for the course exemption, as well as the reasons why such an exemption should be granted.

An official transcript listing the equivalent course previously taken and the grade received.

A letter submitted by the course director approving the course exemption. The letter should detail all conditions the student is required to fill in lieu of taking the course (i.e. to serve as a teaching assistant). When such requirements are mandated, the course director must submit to the Office of Student Affairs an end of course evaluation stating that the student has successfully met her/his obligations to the course.

Once a final satisfactory end of course evaluation is received, the Associate/Assistant Dean for Student Affairs will grant the student the requested course exemption. The student and course director will be notified in writing when credit for the course has been given.

The following rules govern course exemptions:

- The student’s transcript will list the grade “P” (Pass) at the conclusion of the course, assuming all requirements are met.
- In those instances, in which a student does not satisfactorily meet all requirements delineated by the course director, a grade of “I” (Incomplete) or “F” (Fail) will be given for the course.
- Grades from exempted courses will not be included in the student’s overall GPA calculation.
- Grades from exempted sections of a course will not be included in the calculation of the final course grade.
SECTION IV: PROMOTIONS AND DISCIPLINE

GOOD STANDING

A student is considered in good standing if:
1. The student has achieved a passing grade in all required courses and mandatory competencies or eligible to remediate any unsatisfactory grade(s) in any required course and/or competency.
2. The student has passed the appropriate step of the USMLE exams by established deadlines (delineated in another section of the Medical Student Rights and Responsibilities Handbook).
3. The student has maintained acceptable academic and professional behavior and upheld the ethical standards of UMMSM.
4. The student is not on Academic or Professionalism Probation.

PROMOTIONS AND GRADUATION

It is a fundamental responsibility of the faculty and administration of the UMMSM to determine whether students are making adequate progress toward the medical degree. The decision to promote and graduate a student is based on a combination of factors, including academic performance and professional behavior. Passing grades alone do not guarantee promotion or graduation.

There are two arms in the promotions and disciplinary process: a faculty arm, represented by the class promotions committees; and an administrative arm, represented by the medical education administration. Both arms serve in an advisory role to each other. Recommendations made by these bodies can be accepted or appealed to the Executive Promotions Committee.

PROMOTIONS COMMITTEES

Students are monitored, promoted and graduated by the faculty of the School of Medicine, as represented by the following committees:

Class Promotions Committees – MD and MD/MPH Programs

- Phase 1-Promotions Committee
- Sophomore Promotions Committee (SPC)
- Junior-Senior Promotions Committee (JSPC)

Course/clerkship directors are required to recuse themselves from voting on a recommendation involving a student who has failed (F grade) or performed marginally (D grade) in their course/clerkship during that academic year.

Executive Promotions Committee (EPC) – All Programs

Members of the medical education administration sit as ex officio, non-voting members on all of these committees. Quorum in these committees has been previously determined by
the UMMSM to be a minimum of 5 voting members. The Executive Promotions Committee serves as an appellate body in all matters regarding promotions. The Dean of the UMMSM upon the recommendation of the Faculty Council of the UMMSM appoints members of the EPC.

A course director, a faculty member, or the medical education administration may bring forward to a promotions committee for review and discussion any student who may not be making adequate progress toward the medical degree due to academic, personal or professional concerns.

Upon review of a student’s academic progress and professional behavior, a promotions committee may make any number of recommendations, including but not limited to the following:

- Obtaining academic and/or personal counseling
- Performing remedial work
- Repeating a course or courses
- Repeating an entire academic year
- Generation of a Physicianship Incident Report (PIR)
- Generation of a Physicianship Evaluation Form (PEF)
- Being placed on Academic and/or Professionalism Probation
- Being placed on a mandatory leave of absence
- Dismissal or expulsion from the UMMSM
- Referral for professional counseling
- Referral for other supportive services

The following table serves as a guideline by which the Sophomore Promotions Committee may make recommendations for students who have had academic difficulties. These guidelines apply to an individual academic year:

**Class of 2023**

<table>
<thead>
<tr>
<th>Credits</th>
<th>Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>1-14 D credits or 1-9 F credits or 1-12 D/F credits</td>
<td>Academic Warning (this does not go on the student’s academic record or Dean’s/MSPE letter). The committee may vote on a recommendation for the student to repeat part or all of an academic year.</td>
</tr>
<tr>
<td>&gt;15 D credits or &gt;10 F credits or &gt;13 D/F credits</td>
<td>The committee must vote on a recommendation for the student to repeat the year or be dismissed.</td>
</tr>
<tr>
<td>&gt;20 D/F credits</td>
<td>The committee must vote on a recommendation for the student to be dismissed.</td>
</tr>
</tbody>
</table>

A student may not progress to the next academic year/phase until they have successfully passed or remediated their end of year competency assessment exercise.
Class of 2024

The following table serves as a guideline by which the **Phase 1 Promotions Committee** may make recommendations for students who have had academic difficulties:

<table>
<thead>
<tr>
<th>1-10 F Credits in core academic courses</th>
<th>Academic Warning (this does not go on the student’s academic record or Dean’s/MSPE letter). The committee <strong>may vote</strong> on a recommendation for the student to repeat an academic year.</th>
</tr>
</thead>
<tbody>
<tr>
<td>≥ 11 F credits in core academic courses by Milepost 3 OR ≥ 12 F credits in core academic courses by Milepost 4 OR Cumulative Average &lt; 75.0% in any intervening Milepost</td>
<td>The committee <strong>must vote</strong> on a recommendation for the student to repeat the year or be dismissed.</td>
</tr>
<tr>
<td>&lt;75.0% cumulative average in MAP courses at Milepost 3 OR Failure of the Milepost 3 clinical competency exams</td>
<td>The committee <strong>must vote</strong> on a recommendation for the student to repeat part or all of an academic year or be dismissed.</td>
</tr>
</tbody>
</table>

Class of 2021 and Class of 2022

The following table serves as a guideline by which the **Junior Senior Promotions Committee** may make recommendations for students who have had academic difficulties. Please note that years 3 and 4 are considered part of a contiguous curriculum.

<table>
<thead>
<tr>
<th>NBME Subject Exam Failure/Final Exam Failure</th>
<th>0</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
</tr>
</thead>
<tbody>
<tr>
<td>D or F in Core/Required Clerkship/ RMC Clinical Discipline</td>
<td>None</td>
<td>Academic Warning</td>
<td>Academic Warning</td>
<td>Repeat Year (3rd year)</td>
<td>Dismissal</td>
</tr>
<tr>
<td>D or F in Core/Required Clerkship/ RMC Clinical Discipline</td>
<td>Academic Warning</td>
<td>Academic Warning</td>
<td>Repeat Year (3rd year)</td>
<td>Academic Probation (4th year)</td>
<td>Dismissal</td>
</tr>
<tr>
<td>D or F in Core/Required Clerkship/ RMC Clinical Discipline</td>
<td>Repeat Year (3rd year)</td>
<td>Repeat Year (3rd year)</td>
<td>Dismissal</td>
<td>Dismissal</td>
<td></td>
</tr>
<tr>
<td>D or F in Core/Required Clerkship/ RMC Clinical Discipline</td>
<td>Academic Probation (4th year)</td>
<td>Academic Probation (4th year)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>D or F in Core/Required Clerkship/ RMC Clinical Discipline</td>
<td>Dismissal</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Students can appeal recommendations made by a class promotions committee following the appeals guidelines described below.

**GUIDELINES FOR PROMOTION**

Students are promoted by the class promotions committees once they have met all of the requirements for promotion.

Students must pass all sections, courses, and longitudinal themes in order to be promoted to the next curricular year. No student may be promoted to the next curricular year with any unresolved grades of F, I, W-P or W-F.

**GUIDELINES FOR GRADUATION**

Graduation requirements include satisfactory completion of the four-year medical curriculum, payment of all outstanding balances, a passing score on the USMLE Step 1 Examination and proof of having taken the USMLE Step 2 CK Examination.

**DISCIPLINARY POLICIES**

The faculty or medical education administration may recommend adverse administrative actions in response to poor academic performance, inappropriate behavior, violations of the policies set forth in this handbook, or inability to meet the school’s technical standards. The processes set forth in the grievance procedure involving medical students will be followed. See Figure 1 on the subsequent page for the grievance procedure. The standard of proof for cases processed pursuant to this procedure is the preponderance of evidence standard. The processes set forth on the grievance procedure may be waived if the faculty or administration believes that the student may be a risk to self or others.

**INVESTIGATIONS**

As part of the grievance procedure involving medical students, the faculty and administration reserve the right to investigate complaints of unprofessional, unethical and/or illegal conduct. Witnesses may be interviewed as deemed appropriate. Any evidence gathered during the investigative process will be presented to the student of concern for review. Records of the investigative process will be maintained in the Office of Student Affairs and may become part of the student’s permanent education record. In most cases the student of concern will be provided an opportunity to respond before the faculty or administration recommend any adverse administrative actions. An exception to this policy is the recommendation for suspension if the faculty or administration believes that the student may be a risk to self or others.

**NOTIFICATION OF REVIEW AND POTENTIAL RECOMMENDATIONS**

The phase 1 and class promotions committees review the progress of all medical students. Students who have earned grades of D, F, I, W-P or W-F are discussed, and recommendations may be made when deemed appropriate. Additionally, students who do not successfully complete their end of year/phase competency assessments (including the Objective Structured Clinical Exam aka OSCE) will be discussed by the class promotions committee. In addition, members of the faculty or administration may bring forward to the committee for review and discussion any student who may not be making
satisfactory progress due to academic, personal or professional concerns.

The Associate/Assistant Deans for Student Affairs or the Assistant Regional Dean for Student Affairs will notify students in advance by official e-mail when their progress is to be discussed by a class promotions committee. When notified of a pending review and/or possible recommendations, students may submit to the class promotions committee a letter of explanation or other written documentation that they feel would help the committee make an informed decision.

Following promotions committee meetings, the Associate/Assistant Deans for Student Affairs, the Assistant Regional Dean for Student Affairs, or the Chair of the Promotions Committee will notify a student by official e-mail of any recommendations made by the committee. At that time, the student is to be informed as to her/his right of appeal and the procedures related to that process. The Associate/Assistant Dean for Student Affairs and the Assistant Regional Dean for Student Affairs will provide progress reports to each promotions committee involved with the student’s case.
**Figure 1: Grievance Procedure Involving Medical Students**

1. **Reported Incident**
   - **Investigation Meeting/s**
     - **No Charges**
       - When it is determined that no policies have been violated, the student is not charged and the case is closed.
     - **Preliminary Hearing**
       - When an assistant dean for student affairs believes a student’s actions violated one or more policies and may warrant suspension, dismissal, expulsion, or the loss of financial aid, the student is notified that the major disciplinary process will commence. All charges are clearly outlined and delivered in writing.

2. **Student’s Response (Within 5 class days)**
   - **Request to Dismiss**
     - Case referred to Class Promotion Committee and associate dean for student affairs to determine whether or not the case should proceed.
     - **Upheld**
       - Case is Dismissed.
     - **Denied**
       - New response due from student within 5 class days.
   - **No Contest**
     - Student chooses to move the process on to the mitigation hearing without sharing any mitigating information (only for cases also involving criminal charges).
     - **Major Disciplinary Hearing**
       - Student chooses either an objective individual student affairs dean or senior associate dean for UME or regional dean for education to complete the case.
       - **Responsible Finding**
         - When it is determined that no policies have been violated, the case is closed.
         - **Apologies to Dean of Miller School or his/her designee (Executive Dean for Education and Policy)**
           - Student may appeal based on either the severity of sanction or if s/he believes a procedural error may have changed the outcome. The Appeal decision is final.
           - **Upheld**
           - **Denied**
ADVERSE ADMINISTRATIVE ACTIONS

The following list describes adverse administrative recommendations that can be made by the promotions committees or the medical education administration. Students can accept or appeal recommendations to the Executive Promotions Committee, and ultimately the Dean of the School of Medicine. In certain circumstances, adverse administrative actions may be documented on the official transcript and reported in the Medical Student Performance Evaluation.

PROBATION

The class promotions committees or the medical education administration may recommend for a student to be placed on academic and/or professionalism probation. The terms of the probation will be defined at its outset, including the length of the probationary period, sanctions to be imposed (if any) during the probationary period, and criteria to be removed from probation. Students can appeal a recommendation for probation to the Executive Promotions Committee. Failure to meet the terms of one’s probation may result in a recommendation for dismissal. Students on academic or professionalism probation are not eligible to receive academic/merit based UMMSM scholarships. Students may petition for institutional scholarships to be reinstated when they are no longer in probationary status. Furthermore, academic and professionalism probation will be documented on official school records such as the Medical Student Performance Evaluation or licensure documents. Probationary status must be reported to licensing agencies (i.e. FCVS) or medical boards when credentialing students/alumni.

Academic Probation

Students who are repeating part or all of an academic year are automatically placed on academic probation during the period of time while repeating coursework. Unless otherwise defined, a student repeating part or all of an academic year may not earn any failing (F) or marginal (D) grades in any exam, section or course. Failure to meet the terms of one’s academic probation may result in a recommendation for other sanctions including and up to dismissal. The probationary period ends with successful promotion to the next curricular year, unless otherwise defined.

Professionalism Probation

Students on professionalism probation may not hold office in any major student organization nor may they serve on the Council for Honorable and Professional Conduct. Senior medical students on professionalism probation are not eligible to apply for externships/extramural electives. Failure to meet the terms of one’s professionalism probation may result in a recommendation for dismissal.

SUSPENSION

The medical education administration may place a student on suspension when deemed necessary. Suspension is a mandatory separation from the UMMSM. The terms of the suspension will be defined at its outset, including the length of the separation period, sanctions to be imposed (if any), and criteria to petition for reinstatement. All suspensions will be reported to the Dean of the School of Medicine for evaluation and further action if
warranted. Suspensions can only be appealed to the Dean of the School of Medicine. Students who fail to comply with all requirements established at the time of their suspension may be dismissed or expelled from the UMMSM.

Readmission is subject to the approval of the Executive Dean for Education and Policy in consultation with other members of the medical education administration and the Dean. During the period of suspension and during any appeal of a suspension, the student is barred from campus visiting privileges unless the Executive Dean for Education and Policy, the Associate/Assistant Dean for Student Affairs, or the Assistant Regional Dean for Student Affairs grants specific permission.

MANDATORY LEAVE OF ABSENCE

A mandatory leave of absence for disciplinary reasons can be recommended by a promotions committee or the medical education administration for academic, professional, and/or personal reasons. In all instances, decisions regarding leave and the conditions under which a student may petition for return to the UMMSM will be provided in writing by the administration. The student has the right to appeal a recommendation for a mandatory leave of absence to the Executive Promotions Committee.

Students on a mandatory leave of absence for greater than 6 weeks may not hold office in any student organization nor may they serve on the Council for Honorable and Professional Conduct.

A leave of absence for academic remediation or disciplinary reasons cannot exceed two years. Students do not pay tuition or fees while on mandatory leave. Students on mandatory leave for academic or professional reasons are not eligible for financial assistance from the UMMSM. Students who fail to comply with all requirements established at the time of their mandatory leave of absence may be dismissed or expelled from the School of Medicine.

DISMISSAL

The class promotions committee or the medical education administration may recommend a student for dismissal from the UMMSM for academic and/or professional reasons, or if it is determined that a student is not making adequate progress toward graduation and the medical degree. As previously stated, passing grades alone do not guarantee promotion or graduation.

Examples of lack of satisfactory progress toward the medical degree include, but are not limited to:

- Earning multiple marginal grades that, while passing, are consistently near the bottom of the class and suggest that the student’s overall performance is inadequate
- Having to repeat or remediate multiple courses
- Needing to repeat one or more academic years
- Failure to sit for licensing exams by dates delineated by school policies
- Failing to pass licensing exams after multiple attempts
- Failing to comply with academic or administrative policies and procedures
• Violating the professional or ethical standards of the UMMSM
• Demonstrating behavior that indicates the student does not possess the professional qualities or personal attributes necessary for retention as a member of the student body of the UMMSM
• Demonstrating an inability to meet the technical standards of the medical degree program

A student who has been dismissed is eligible to apply for readmission to the UMMSM, or for admission to any school or college of the University of Miami.

EXPULSION

The class promotions committees or the medical education administration may recommend a student for expulsion for violations of the policies or procedures contained in this handbook. Expulsion results in permanent dismissal from the University with no right for future readmission into any school or college within the University of Miami under any circumstance. A student who has been expelled shall be barred from campus visiting privileges.

APPEALS PROCESS

Students have the right to accept or appeal recommendations or sanctions made by a promotions committee and/or the medical education administration. The appeals process is the same for all medical students enrolled at the Miami or Regional Medical campus:

The student must submit a “Response to Recommendations” form to the Associate/Assistant Dean for Student Affairs or the Assistant Regional Dean for Student Affairs within five (5) working days of being notified of the recommendations or sanctions.

If an appeal is requested, the Associate/Assistant Dean will so notify the Chair of the Executive Promotions Committee (EPC).

The Chair will set a date for the appeal to be heard by the EPC, and will notify the student of the date by official e-mail.

Prior to the EPC hearing, the Chair will meet with the student to review the appeals process and to hear the reasons for the student’s appeal.

The Chair or the Chair’s designee will collect information pertinent to the student’s appeal from sources, including but not limited to, the student, the student’s file, the course directors, the class promotions committees, the faculty, the administration and/or the Council for Honorable and Professional Conduct.

Prior to the EPC meeting, the student must submit to the Chair a letter of appeal that clearly and concisely states the reasons for the appeal. The student may also submit other supportive documentation.

The Associate/Assistant Dean for Student Affairs or the Assistant Regional Dean for Student Affairs may also submit to the EPC a report that summarizes the student’s overall academic progress and professional behavior while enrolled at the UMMSM.
At the EPC meeting, the Chair or the Chair’s designee will present a summary of the student’s academic history and other pertinent matters. The student will then appear before the committee to state the reasons for the appeal and to answer questions. The student will be excused from the meeting, and the committee will deliberate the case prior to voting on a formal recommendation.

The EPC can choose to uphold a recommendation, modify a recommendation, or make completely new recommendations. Recommendations can include, but are not limited to, a professional evaluation, counseling, ongoing monitoring, performing remedial work, repeating a course or courses, repeating an entire academic year, being placed on probation, being placed on a mandatory leave of absence, or being dismissed or expelled from the UMMSM. The committee may also generate a Physicianship Evaluation Form (PEF).

Immediately following the meeting, the student will be notified by the Chair and the Associate/Assistant Dean for Student Affairs and/or Assistant Regional Dean for Student Affairs of the committee’s recommendation(s). The student may accept EPC recommendations or can elect to request an appeal of the recommendations to the Dean of the School of Medicine. The student must submit a “Response to EPC Recommendations” form to the Associate/Assistant Dean for Student Affairs or the Assistant Regional Dean for Student Affairs within five (5) working days of being notified of the recommendations or sanctions.

Students who seek an appeal to the Dean must submit an appeal in writing to the Associate/Assistant Dean for Student Affairs or the Assistant Regional Dean for Student Affairs within five (5) working days of notification of the EPC’s recommendations. Reasons for the appeal must be clearly and concisely stated.

When a student appeals to the Dean, the Chair of the EPC will provide to the Dean a summary of the student’s case and any relevant records. The Associate/Assistant Dean for Student Affairs, the Assistant Regional Dean for Student Affairs may also submit to the Dean a report of progress that summarizes the student’s overall academic progress and professional behavior while enrolled at the UMMSM.

The Dean can choose to hear an appeal or not once s/he has reviewed the case and all relevant documents. The Dean can also designate a representative to hear an appeal on her/his behalf. The Dean has the prerogative to invite other members of the administration/faculty to the appeals meeting in order to provide additional information.

The Dean of the School of Medicine has final authority for all decisions regarding promotions and discipline. Upon hearing an appeal, the Dean has the authority to uphold, modify or reverse recommendations made by the EPC, or to make a completely new decision.
Figure 3. Promotions and Disciplinary Process

DEAN, UMMSM

EXECUTIVE PROMOTIONS COMMITTEE

CLASS PROMOTIONS COMMITTEES

MEDICAL EDUCATION ADMINISTRATION

Appeal

Appeal
SECTION V: OTHER POLICIES

MD/MPH 4 YEAR PROGRAM POLICIES

Students enrolled in the MD/MPH program must complete all requirements for both degrees in order to receive either degree - students must complete the MPH degree in order to receive the MD degree, and vice versa. UMMSM provides all students with faculty mentors and advisors to assist in meeting all requirements and obligations for both degrees in a timely manner.

If a student withdraws from the MD/MPH program they may request to complete the MPH program alone. A student may not transfer from the MD/MPH program to the MD program. Any student interested on doing this would need to reapply to the UMMSM MD program and request advanced standing based on having successfully completed MD course work. Decisions for readmission will be made by the medical school admissions committee on an individual case basis with consideration given to the reasons for withdrawal.

COVID 19 RELATED POLICIES FOR CLINICAL CLERKSHIPS/ELECTIVES

Per COVID rentry protocols, students are required to complete a daily attestation about health symptoms/ risks and behavior in the community. If students are found to have been dishonest in the completion of these forms (ex. attended a large group gathering despite attesting otherwise), students will be discussed by the Junior Senior Promotions Committee. Until recommendations are made by the JSPC, students may be pulled from clinical rotations if deemed necessary by the medical education administration and/or the infection control teams at the university and/or hospital partners.

The following guidelines will be used to facilitate clinical student (MS3/MS4) concerns/needs on rotations during the COVID 19 Pandemic:

1. STUDENT CONCERNED ABOUT TRAINING DURING THE COVID19 PANDEMIC:
   Students at high risk (and/or those living with high risk individuals) should discuss their concerns with their personal physicians or health care providers and notify their dean for student services (Dr. Hilit Mechaber/Miami campus or Dr. Amy Zito/RMC). Students who are unable to enter the clinical environment may require a short-term Leave of Absence (LOA) and should discuss this with the dean for student services who will provide guidance. The LOA will be for a period of time specified by the student. This LOA may delay graduation and may be documented in the student’s MSPE if it delays the time of graduation.

2. STUDENT IN QUARANTINE (max 14 days) and able to participate in remote activities:
   A student may need to be removed from a clinical rotation due to an exposure. If the rotation offers virtual experiences (such as telemedicine, zoom
lectures/OSCEs/small group sessions), the student can continue participation in the rotation in this manner. If virtual experiences are available, it is expected that the student participates fully in these sessions. A LOA WILL NOT be documented if the student participates in the virtual experiences as directed by the clerkship director. For clerkships without virtual activities, a LOA during quarantine WILL NOT be documented. Regardless of the availability of virtual activities, the student may need to make up clinical time afterwards. This decision is at the discretion of the clerkship director and the Junior Senior Promotions Committee.

3. **STUDENT WITH ILLNESS:**

   Students with medical illness in need of a leave will be offered a medical leave. To qualify for a medical leave, the student must submit a letter from their treating medical provider to the Associate/Assistant Regional Dean for Student Services. The student may need to make up clinical time, and this decision is at the discretion of the clerkship director and the Junior Senior Promotions Committee. Medical leaves are not documented on the MSPE unless the illness leads to a delay in the time of graduation.

**EXTENDED ENROLLMENT / LEAVES OF ABSENCE (WITHDRAWAL WITH INTENT TO RETURN - WWITR)**

A leave of absence is classified as follows:

**Voluntary:** the student requests a leave of absence.

**Mandatory:** the student is required to take a leave of absence as directed by the medical education administration, a promotions committee, or the Dean.

A leave of absence is documented in the student’s Medical Student Performance Evaluation. Additionally, please refer to class specific policies as they relate to delayed/repeated attempts at the USMLE Step 1 examination and documentation of Leave of Absence.

A leave for the purpose of personal illness is classified as follows:

**Medical Leave:** A student who requires a leave for medical reasons will need to provide a letter stating such by their medical provider. This letter is to be addressed to the Associate/Assistant Regional Dean for Student Services without detail of the illness. A medical leave that leads to a delay in graduation will be documented as a leave of absence and will be documented in the student’s Medical Student Performance Evaluation.

**VOLUNTARY LEAVE (WWITR)**

Students may request a voluntary WWITR from the UMMSM for educational, health-related, or other reasons. A request for WWITR must be submitted in writing to the Office of Student Affairs using the Change of Status/WWITR form. Approval for a voluntary leave of absence must be granted by the Executive Dean for Education and Policy, in consultation with the Associate/Assistant Deans for Student Affairs, the Associate/Assistant Deans for Student Services, Regional Dean for Medical Education
and/or the Assistant Regional Dean for Student Affairs. In certain cases, a promotions committee may grant a voluntary leave of absence for academic or personal reasons. A leave of absence cannot exceed two years. Students on a voluntary leave of absence greater than 6 weeks may not hold office in any student organization nor may they serve on the Council for Honorable and Professional Conduct during the period of the leave.

Approval for the WWITR will be provided in writing to the student, and will include the start date, anticipated date of return, and deadline by which a student should notify the medical education administration of his/her intent to resume their medical education. The medical education administration reserves the right not to approve a request for a voluntary WWITR in certain circumstances. Students who fail to adhere to the terms of their voluntary WWITR may be subject to disciplinary action, up to and including dismissal from the UMMSM.

Students on WWITR pay no tuition for the period they are on leave. Students on approved voluntary leave will have the option to continue to pay for all or some of the fees and will be eligible for the services covered under those selected fees. Students taking a voluntary leave for an entire academic year may not receive financial aid or borrow money for educational purposes. Students who take a voluntary leave for part of an academic year are eligible to receive financial aid or educational loans if they are enrolled on at least a half time basis. A student must take four (4) or more credits per semester to be considered enrolled on a half time basis.

Categories of WWITR include the following:

- Special Studies
- Financial Reasons
- Health Reasons
- Academic Remediation
- Research Participation
- Joint Degree Program Study
- Other Reasons

**Mandatory Leave**

A mandatory leave of absence for disciplinary reasons can be recommended by a promotions committee or by the medical education administration for academic, professional, and or personal reasons. Students on a mandatory leave of absence greater than 6 weeks may not hold office in any student organization nor may they serve on the Council for Honorable and Professional Conduct.

For more information about mandatory leaves of absence, please see the section titled “Adverse Administrative Actions.” A leave of absence cannot exceed two years.

**Process for All Students to Request Leave of Absence for Research/Year Off Programs (WWITR)**

Students in the MD program who would like to apply for a program that will require a one year leave of absence between the 2nd and 3rd year or 3rd and 4th year and students in the dual degree MD/ MPH program who would like to apply for a program that will
require a one year leave of absence between the 3\textsuperscript{rd} and 4\textsuperscript{th} years of school must first obtain permission from UMMSM administration.

Please refer to the links below on the guidelines and processes to follow:

**MD:**

http://ren.mededu.miami.edu/MD_Curriculum/Misc/Important\%20Administrative\%20Documents\%20For\%20Students/MD_Year_Off_Program_Application.pdf

**MD/MPH:**

http://ren.mededu.miami.edu/MD_Curriculum/Misc/Important\%20Administrative\%20Documents\%20For\%20Students/MD_MPH_Year_Off_Program_Application.pdf

**THIRD- AND FOURTH-YEAR SCHEDULING POLICIES**

The third and fourth curricular years of medical training include required courses, as well as the opportunity for students to take electives and externships.

Students may seek guidance in creating their clinical schedules from their advising dean. The advising deans are responsible for making certain that students fulfill all requirements for graduation. Students are encouraged to closely review online schedules and to obtain advice from the faculty in preparing their schedules.

**COURSE REQUIREMENTS**

Students are required to earn a minimum of 77 credits combined in the third and fourth years (43 weeks in year 3) to fulfill the requirements for graduation. Course requirements for students enrolled in the UMMSM educational track on the Miami campus are listed in the table below:

**For Class of 2021:**

<table>
<thead>
<tr>
<th>Course Requirements</th>
<th>Credits</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Core Clerkships</strong></td>
<td></td>
</tr>
<tr>
<td>43 weeks</td>
<td></td>
</tr>
<tr>
<td>Patient Safety: Transition to the Wards</td>
<td>1</td>
</tr>
<tr>
<td>Internal Medicine</td>
<td>8</td>
</tr>
<tr>
<td>Surgery</td>
<td>8</td>
</tr>
<tr>
<td>Psychiatry</td>
<td>6</td>
</tr>
<tr>
<td>OB/GYN</td>
<td>6</td>
</tr>
<tr>
<td>Pediatrics</td>
<td>6</td>
</tr>
<tr>
<td>Family Medicine</td>
<td>4</td>
</tr>
<tr>
<td>Generalist Primary Care</td>
<td>4</td>
</tr>
<tr>
<td><strong>Required Clerkships</strong></td>
<td></td>
</tr>
<tr>
<td>18 weeks</td>
<td></td>
</tr>
<tr>
<td>Neurology</td>
<td>4</td>
</tr>
<tr>
<td>Anesthesiology</td>
<td>2</td>
</tr>
<tr>
<td>Ward Subinternship (Med, Peds, FM, or GYN) <strong>or</strong></td>
<td>4</td>
</tr>
<tr>
<td>Ward Subinternship Surgical Specialty</td>
<td></td>
</tr>
<tr>
<td>Geriatrics</td>
<td>2</td>
</tr>
<tr>
<td>Emergency Medicine</td>
<td>4</td>
</tr>
<tr>
<td>Radiology</td>
<td>2</td>
</tr>
</tbody>
</table>
### Open Electives

| Limits: No more than 8 weeks of research or 12 weeks away from the UMMSM (Electives are minimum of 2 weeks in duration) | 16 |

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### For Class of 2022:

#### Course Requirements

<table>
<thead>
<tr>
<th>Core Clerkships 43 weeks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Transition to Clinical Rotations</td>
</tr>
<tr>
<td>Internal Medicine (Inpatient and Outpatient)</td>
</tr>
<tr>
<td>Surgery/Anesthesiology</td>
</tr>
<tr>
<td>Psychiatry</td>
</tr>
<tr>
<td>OB/GYN</td>
</tr>
<tr>
<td>Pediatrics</td>
</tr>
<tr>
<td>Family Medicine</td>
</tr>
</tbody>
</table>

#### Required Clerkships 16 weeks

| Neurology | 4 |

#### Open Electives 16 weeks

| Limits: No more than 8 weeks of research or 12 weeks away from the UMMSM (Electives are minimum of 2 weeks in duration) | 16 |

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### For Class of 2021:

#### Course Requirements

<table>
<thead>
<tr>
<th>Core Clerkships 49 weeks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Transition to Clinical Rotations</td>
</tr>
<tr>
<td>Integrated Internal Medicine (IM, Geriatrics, Palliative Care, Radiology)</td>
</tr>
<tr>
<td>Integrated Surgery (Surgery, Anesthesiology, Radiology)</td>
</tr>
<tr>
<td>Psychiatry</td>
</tr>
<tr>
<td>OB/GYN</td>
</tr>
<tr>
<td>Pediatrics</td>
</tr>
<tr>
<td>Family Medicine</td>
</tr>
</tbody>
</table>

#### Required Clerkships 16 weeks

| Neurology | 4 |
| Community and Public Health Practicum | 2 |
| Public Health Clerkship | 2 |
| Subinternship (Med, Peds, FM, GYN or Surgical) | 4 |
| Emergency Medicine | 4 |

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Third- and fourth-year course requirements for students enrolled in the UMMSM MD/MPH program are described below.
Open Electives
12 weeks

Limits: No more than 8 weeks of research or 12 weeks away from the UMMSM (Electives are minimum of 2 weeks in duration)

12

For Class of 2022:

<table>
<thead>
<tr>
<th>Course Requirements</th>
<th>Credits</th>
</tr>
</thead>
<tbody>
<tr>
<td>Transition to Clinical Rotations</td>
<td>1</td>
</tr>
<tr>
<td>Integrated Internal Medicine (IM, Geriatrics, Palliative Care, Radiology)</td>
<td>13</td>
</tr>
<tr>
<td>Integrated Surgery (Surgery, Anesthesiology, Radiology)</td>
<td>11</td>
</tr>
<tr>
<td>Psychiatry</td>
<td>5</td>
</tr>
<tr>
<td>OB/GYN</td>
<td>5</td>
</tr>
<tr>
<td>Pediatrics</td>
<td>5</td>
</tr>
<tr>
<td>Family Medicine</td>
<td>5</td>
</tr>
</tbody>
</table>

Core Clerkships
43 weeks

Required Clerkships
16 weeks

Neurology                                               | 4       |
Community and Public Health Practicum                   | 2       |
Public Health Clerkship                                  | 2       |
Subinternship (Med, Peds, FM, GYN or Surgical)           | 4       |
Emergency Medicine                                       | 4       |

Open Electives
12 weeks

Limits: No more than 8 weeks of research or 12 weeks away from the UMMSM (Electives are minimum of 2 weeks in duration)

12

GENERAL RULES

No credit will be allowed for an elective not included in the Clinical Course Catalog without written approval from the student’s advising dean and final approval by the Associate Dean for Clinical Curriculum.

Scheduling changes must be approved by the course directors of each course being dropped and added.

The advising deans reserve the right to modify a student's schedule for academic or professional reasons.

Any exception to the policies or procedures described in the Clinical Course Catalog must be approved by the Associate Dean for Clinical Curriculum.

CONFLICT OF INTEREST IN CLERKSHIP SCHEDULING/EVALUATIONS

All students are asked to please identify ANY potential conflict of interest (without detail) in an effort to avoid biased evaluation. For example, no student should be evaluated by any physician from whom she/he has received care as a patient. Students should also not be evaluated by family members. If a conflict exists, please contact the Associate Dean for Student Services – MIAMI campus or the Assistant Regional Dean for Student Services.
– Regional Medical Campus as soon as possible, so that your assignment can be modified accordingly.

**WORK HOURS DURING THE MS3 AND MS4 YEARS**

The UMMSM is committed to providing quality medical care and education in a safe and healthy work environment. While supporting the benefits and importance of continuity of care and clinical service demands, student schedules and responsibilities should avoid excessive fatigue and sleep deprivation, and spending time on duties of marginal or no educational value should be limited. All students must adhere to the Medical Student Work Hours Policy as summarized below, based on the ACGME rules:

Duty hours must not exceed 80 hours/week, averaged over a four-week period. Clinical shifts must be no longer than 24 consecutive hours, each shift separated by at least 8 (preferably 10) hours. Up to 4 hours of additional time may be used for activities related to patient safety, such as providing effective transitions of care, rounding, and/or education. Students must have one day (24 hours) in seven free from all clinical/educational responsibilities, averaged over a four-week period. Tasks that are unrelated to their educational goals and that do not directly benefit their educational experience should be avoided. Students should report work hour violations to the Clerkship Director or the Associate Dean for Clinical Curriculum. Retaliatory action against students who report infractions of this policy is prohibited.

**RESEARCH**

Students wishing to conduct research for credit must obtain prior written approval by the research preceptor and in consultation with the Research Committee. Before scheduling the time, the preceptor must submit a brief research plan including the dates the research will be done and the proposed outcomes. An evaluation form will be sent to the preceptor for completion at the end of the research period. The number of research credits to be awarded, if any, is determined by the Research Committee. Students in the MD/PhD Program are automatically granted 8 research credits upon the successful completion of all third-year core clinical clerkships. No student may receive more than 8 weeks of senior course credit for research unless approved by the Associate Dean for Clinical Curriculum. The Medical Education website outlines the specific requirements needed to apply for both research credit and/or research distinction upon graduation.

**INTERVIEW TIME**

Excused absences to attend interviews during courses may be allowed at the discretion of the course director. Each course describes in the Clinical Course Catalog its policies regarding interview time. **Students must obtain prior permission from the course director to go on interviews.**

**HOLIDAYS / TIME OFF**

Aside from Thanksgiving holiday and winter recess, junior and senior students are expected to be available for clinical duties throughout their rotations, including holidays and weekends. Since the various hospitals where students train may observe holidays that are not observed by the UMMSM, students must check with their course director and the supervising physician on their clinical team to determine their clinical responsibilities.
Note that some subinternships in the senior year do carry clinical duties during the Thanksgiving holiday.

**WAIVER GUIDELINES**

Any student seeking a waiver of the above stated guidelines must present his or her request in writing to the Associate Dean for Clinical Curriculum.

**SCHEDULE CHANGES (DROP/ADD FORMS)**

Add/drop requests will only be processed during one week per month (the last week of each 4-week block). These must be submitted at least one month in advance of your anticipated course. Many departments also require approval signatures. Please note:

1. Changes in courses after October 1 will need to be “credit neutral” for the academic year. For example, if you drop a 2 week course you are scheduled to take in November, it will need to be replaced with a 2 week course (ideally in the same time frame, but later in the academic year is also acceptable).

2. There will be no Add/Drops allowed after January of your senior year. A course may not be dropped once it has begun. You may download Drop/Add forms from the Medical Education website and submitted to the Coordinator for Student Affairs.

Schedule changes may be made if they do not in any way violate the specific guidelines for graduation. However, if the request for change in any way affects required courses, or involves additional time for externship and/or research, then the request must be approved by the Associate Dean for Clinical Curriculum.

**EXTERNSHIP PROCEDURES**

A maximum of 12 weeks may be spent in elective time away from the UMMSM. External electives must have written acceptance by the department at the other facility. Elective time spent away from the UMMSM in excess of the allowable 12 weeks will not be counted for credit, unless it has been pre-approved by the Associate Dean for Clinical Curriculum in consultation with the Associate/Assistant Dean for Student Affairs. Externships are a minimum of 2 weeks in duration. *No externships may be done until all of the MS3 core clerkships have been satisfactorily completed.*

Students planning to go away on externships must take the following steps:

- Information and applications should be requested directly through VSLO or from the institution of interest by email or telephone.

- Student verification forms and support letters may be requested from the Office of Student Affairs and are made available contingent upon students submitting proof of health insurance and updated immunization record for communicable diseases.

- Students requesting an externship must submit a copy of their acceptance letter to the Office of Student Affairs prior to leaving UMMSM.
A grading/evaluation form will be issued to the institution that is expecting you. Externship grading forms will not be issued without receipt of written acceptance.

Any changes involving planned externships must be reported to the Office of Student Affairs as soon as possible.

Each student is responsible for furnishing the Office of Student Affairs with an address and telephone number where s/he may be reached while away on externship.

Forms for the students to evaluate the externship should be completed and returned to the Office of Student Affairs.

Failure to comply with these procedures will result in no credit for the externship.

**INTERNATIONAL STUDY**

In the 2020-2021 academic year, students are not permitted to travel internationally for academic credit. Students should follow the current university guidelines for restrictions and policies related to personal travel.

**VISITING MEDICAL STUDENT POLICY**

For academic year 2020-2021, the University of Miami will not be accepting visiting students, with the exception of virtual rotations.

**EXTERNSHIPS**

[https://students-residents.aamc.org/attending-medical-school/article/visiting-student-learning-opportunities/](https://students-residents.aamc.org/attending-medical-school/article/visiting-student-learning-opportunities/)

In order to participate in a visiting externship at the UMMSM, a student must:

- Be enrolled in a medical school accredited by the Liaison Committee for Medical Education (LCME) or in the Nova Southeastern College of Osteopathic Medicine
- Have completed all required third-year core clerkships at their home institution
- Be in good academic standing

Eligible students who wish to apply for a visiting externship must do so through the AAMC Visiting Student Learning Opportunities (VSLO). Students can access the UMMSM elective catalog, obtain a complete list of application requirements, and submit an application at the link listed above. Students enrolled in Nova Southeastern College of Osteopathic Medicine must apply directly to the host department and the Office of Student Affairs. For more information about visiting externships, applicants can call 305-243-7418.

**OBSERVERSHIPS**

For academic year 2020-2021, the University of Miami will not be accepting visiting observers.
Registration Requirements for All Visiting Students

Students who are accepted for a visiting externship must observe the following registration requirements. No visiting student may commence a rotation until registration is complete. Visiting students:

- Must make arrangements directly with the host department that has accepted them for the rotation
- Must provide all required documents, complete online HIPAA training, and undergo a level II criminal background check as described on the VSLO website
- All required documents for visiting students from Nova Southeastern must be sent electronically to the Office of Student Affairs. These documents must be completed in full and must bear the appropriate signatures before they are sent to the Office of Student Affairs.

The student must also bring an interdepartmental requisition form (IDR) made out to "UMMSM Security" to defer the cost of the ID badge if the department is paying for the badge. If the student is responsible for the charge, a memorandum to that effect must be sent by the department and an IDR is not required.

No ID badges will be issued to any student whose documentation does not meet the criteria set forth above. *For students involved in global observerships, only officials from the IMI can authorize that an ID badge be issued.*

No ID badges will be issued to visiting students unless authorized by the Associate/Assistant Deans for Student Affairs or their designee.

Once a visiting student appropriately registers and begins an elective, the student will be afforded access to all services and facilities at the UMMSM that are essential to the educational purpose of the elective, such as the library and the computer laboratory.

The Office of Medical Education is required to maintain an accurate list of all students who have been officially accepted as visiting students to the UMMSM. Each Department must furnish the Office of Medical Education with a quarterly report of all visiting students studying in that department. The IMI will provide a similar quarterly report to the Office of Medical Education for all students involved in global observerships. The report should include the names of the students, the names of the home schools of the students, and the end dates of the rotations.

Medical Student Organization Policy

All UMMSM medical students are free to join student organizations. All UMMSM student organizations must be officially certified by the Student Council in order to use medical school facilities and to be eligible to receive monies generated from student activity fees. All UMMSM student organizations are subject to the rules and policies of the University of Miami, including but not limited to the *Student Rights and Responsibilities Handbook*, and the Policy and Procedures for Certification of Student Organizations. All student organizations are under the disciplinary jurisdiction of the Office of Student Affairs.
To become an official UMMSM student organization, a student group must be certified by Student Government. The functions of Student Government include:

- To recommend policies governing student organizations
- To determine criteria for the establishment of student organizations
- To hear petitions for certification of student organizations
- To evaluate annually the achievement of student organizations
- To allocate funds derived from student activity fees to organizations

The policies for certifying a student organization are established by the Student Government and are published on the medical school website. However, UMMSM policies dictate that student organizations must meet the following minimum requirements to be certified:

- A membership of at least 10 students
- A faculty advisor
- A constitution and bylaws

The right of a student organization to exist at the University can be revoked by the University if it fails to comply with the rules and regulations of the University of Miami, including but not limited to the Student Rights and Responsibilities Handbook and the Policy and Procedures for Certification of Student Organizations.

Any group of 10 or more students wishing to form an organization can obtain the necessary forms from the Student Activities Office.

**Policies Governing Student Organizations at the Regional Medical Campus**

Student organizations on the Regional Medical Campus must comply with the policies outlined above. Local student organizations that are not chapters of regional or national organizations are governed by the Student Government on the Regional Medical Campus. Local chapters of regional or national groups such as the AMA and AMSA are governed by the Student Government on the Miami campus. Governance of these organizations must be put in writing and must provide opportunities for students on both campuses to participate in organizational activities, to serve as leaders in the organization, and to represent the UMMSM at regional and national meetings.

**Outside Speaker Policy and Registration Requirements**

The University of Miami is committed to providing a forum for free and open expression of divergent points of view by campus speakers. Use of University facilities by outside speakers is not to be construed as an endorsement by the University of any speaker’s views. In keeping with University policy, all undergraduate, law, graduate, and medical school student organizations must observe the policies published in the University of Miami Student Rights and Responsibilities handbook:

Students wishing to invite outside speakers onto the medical campus must contact the Associate/Assistant Dean for Student Affairs to obtain authorization. Students wishing to invite outside speakers onto the Regional Medical Campus must contact the Regional Dean for Medical Education. In cases where the outside speaker represents someone from industry, the Associate/Assistant Dean for Student Affairs or Regional Dean for Medical Education will be required to seek further approval from the Executive Dean for Education and Policy. In keeping with UMMSM policy, whenever an industry speaker is presenting to students, a faculty member MUST be present during the presentation.

**AFFILIATED TRAINING SITES**

Students located at affiliated training sites must adhere to the policies of the host institution. Failure to comply with the host institution’s policies is grounds for disciplinary action.

**THE HEALTH INSURANCE PORTABILITY AND ACCOUNTABILITY ACT (HIPAA)**

Patient confidentiality is protected by the traditions of the medical profession. Additionally, the federal government has developed strict regulations to protect patient confidentiality and has outlined penalties for violation of these regulations. The Health Insurance Portability and Accountability Act (HIPAA) establishes uniform, nationwide standards for the use of all protected health information by setting standards for electronic transactions and code sets; privacy of health information; and security of protected health information.

*Health Information* is any information, whether oral or recorded in any form or medium (electronic or paper) that:

- is created by a provider of care, and;
- relates to the past, present, or future physical or mental health or condition of an individual, the provision of health care to an individual, or the past, present, or future payment for the provision of health care to an individual.

Medical students should be aware that as members of the UMMSM community they are required to become familiar with and adhere to these regulations. Medical students will be required to complete a course each year at registration verifying that they have completed the HIPAA training tutorial made available on-line. Medical students are also required to read and familiarize themselves with the privacy guidelines established by those clinics or hospitals outside the UM/Jackson Health System where they do clinical rotations. A link to the online training tutorial is available at:

[https://www.courses.miami.edu](https://www.courses.miami.edu)

After logging in with CaneID and Password, Students can select HIPAA “appropriate course year” for Medical Student Orientation

Students should use care to adhere to the basic principle that any information they learn about a patient should be kept strictly confidential. Write–ups and presentations used outside of the clinical setting must be devoid of any information that could be used to
identify a specific individual. Questions regarding patient confidentiality or HIPAA should be directed to the attending physician or the Privacy Office.

**STUDENT RIGHTS UNDER THE FAMILY EDUCATIONAL RIGHTS AND PRIVACY ACT (FERPA)**

The Offices of Grades and Records maintain official education records for UMMSM students on the main and regional campuses. Access to these records is governed by policies established in accordance with the Family Educational Rights and Privacy Act (FERPA).

The Office of Student Affairs on the Coral Gables Campus publishes the Official University of Miami student guidelines to F.E.R.P.A. These more detailed guidelines titled “Student Rights and Responsibilities” can be found on the University of Miami website – http://www.miami.edu.

The Family Educational Rights and Privacy Act (FERPA), also known as the *Buckley Amendment*, guarantees students certain rights with respect to their education records. These rights include:

**The right to inspect and review their education records within 45 days of the day the University receives a request for access.**

Students should submit to the registrar, dean, department Chairperson or other appropriate official, written requests that identify the record(s) they wish to inspect. The University official will make arrangements for access and notify the student of the time and place where the records may be inspected. If the records are not maintained by the University official to whom the request was submitted, that official shall advise the student of the correct official to whom the request should be addressed.

**The right to request the amendment of the education record which they believe to be inaccurate.**

Students may ask the University of Miami to amend a record that they believe is inaccurate. They should write the University official responsible for the record, clearly identify the part of the record they want changed, and specify why it is inaccurate.

If the University of Miami decides not to amend the records as requested by the student, the University will notify the student of the decision and advise the student of his or her right to a hearing/appeal regarding the request for amendment. Additional information regarding the right to appeal and hearing procedures will be provided to the student when notified of the right to a hearing.

**The right to consent to disclosures of personally identifiable information contained in their education records, except to the extent that FERPA authorizes disclosure without consent.**

One exception, which permits disclosure without consent, is disclosure to school officials with legitimate educational interests. A school official is a person employed by the University in an administrative, supervisory, academic or research, or support staff position (including law enforcement unit personnel and health staff); a person or company
with whom the university has contracted (such as an attorney, auditor, or collection agent); a person serving on the Board of Trustees; or a student serving on an official committee, such as a disciplinary, promotions, grievance or ethics committee, or assisting another school official in performing his or her tasks.

A school official has a legitimate educational interest if the official needs to review an education record in order to fulfill his or her professional responsibility. Upon request, the University of Miami discloses education records without consent to officials of another school in which a student seeks or intends to enroll. *(Note: FERPA requires an institution to make a reasonable attempt to notify the student of the records request unless the institution states in its annual notification that it intends to forward records on request)*

The right to file a complaint with the U.S. Department of Education concerning alleged failures by the University of Miami to comply with the requirements of FERPA.

The office that administers FERPA is:

Family Policy Compliance Office
U.S. Department of Education
400 Maryland Avenue, SW
Washington, DC 20202-4605

The University will not disclose personally identifiable information from the education records of a student without the prior written consent of the student except:

Personally identifiable information which has been designated as directory information: the student’s name, address, telephone number, major field of study, participation in officially recognized activities and sports, weight and height of members of athletic teams, dates of attendance, degrees and awards received, the most recent previous educational agency or institution attended by the student.

The student has the right to withhold disclosure by submitting written notification by September 7th, of each academic year to: Office of the Registrar, Directory Information, 121 University Center, Coral Gables, FL 33124-6914.

All requests for information must be made in writing to the Registrar, University of Miami Miller School of Medicine, P.O. Box 016960 (R-128), Miami, FL 33101. Requests via e-mail will not be accepted.
SECTION VI: STUDENT SUPPORT SERVICES

STUDENT HEALTH AND WELLNESS

Students must assume responsibility for safeguarding their health and that of their classmates by seeking medical care in a timely fashion. When care is needed that cannot be personally arranged, the student should seek assistance from the Associate Dean for Student Services or the Assistant Regional Dean for Student Services. Students should contact the Office of Student Services as soon as possible if a health problem should develop that affects their ability to meet their academic obligations.

HEALTH INSURANCE

The UMMSM requires medical students to carry health insurance for the period of their enrollment. Proof of health insurance must be provided annually and is required for registration. Students are strongly urged to review their health insurance policy on a routine basis, especially if they are enrolled under a parent or spouse's health plan. Failure to carry health insurance can result in dismissal.

The University of Miami routinely negotiates with private health plans and/or insurance companies to make available to medical students reasonably affordable health insurance policies that cover most routine, emergency, and hospital care. Students should be aware that these agencies are private and do not share financial relationships with the University Miami. Entry into a contract with these agencies is purely voluntary and at the discretion of the student. In those instances when students disagree with a health plan regarding the terms of their contract, they should seek guidance from the Associate Dean for Student Services or the Assistant Regional Dean for Student Services.

Specific information about medical student health insurance plans, copies of policy brochures, and electronic copies of enrollment forms are available at:

http://studenthealth.studentaffairs.miami.edu/insurance-information/md-and-md-mpm-insurance/index.html

Please reference sections related to Medical Students.

HEALTH INSURANCE ENROLLMENT AND WAIVER POLICY
All UMMSM, Miller School of Medicine MD and MD/MPH students enrolled in six or more credit hours per semester are required to obtain adequate health insurance. The annual premium for the health insurance plan offered through the Student Health Service is automatically added to the student's Canelink account. Medical students with adequate alternative coverage may request cancellation of the insurance fee via the United HealthCare (UHCSR) waiver portal. Medical students with limited out of area coverage or otherwise inadequate coverage are urged to carefully review their options before waiving the University sponsored insurance plan.

The waiver must be renewed in CaneLink at the beginning of each academic year. The waiver process is fully described at: http://studenthealth.studentaffairs.miami.edu/insurance-information/md-and-md-mph-insurance/index.html

Please reference sections related to Medical Students.

**PRIMARY CARE**

The UMMSM wishes to discourage "curbside consults," which can lead to sub-optimal care. The University encourages every student to sign up as a regular patient with a physician of her/his choice, and to have medical problems taken care of in the same manner as any other patient. This ensures continuity of care, adequate reference to relevant medical history and records, and, in general, ensures that students will receive the best quality of care available. Specifics regarding access to care for Miami Campus and Regional Medical Campus students is described on the webpage. Information regarding access to care can be found at: http://mededu.med.miami.edu/current-students/student-health

All Miami Campus medical students are eligible to receive care at the University of Miami Student Health Center based on payment of the Gables Campus Health Fee. Information about hours, care provision and access can be found at: http://studenthealth.studentaffairs.miami.edu/

Regional Medical Campus students can receive primary care in Palm Beach County and information is also found HERE

**PAYMENT FOR SERVICES RENDERED**

Despite the fact that they are joining a large, university-based medical community, medical students should not assume that any health care costs derived during their matriculation at UMMSM will be waived. Students are ultimately responsible for any bills they generate while obtaining care from members of the University of Miami Medical Group, or any clinics or hospitals affiliated with the University of Miami.

**DISABILITY/ACCIDENTAL DEATH AND DISEMBERMMENT (ADD) INSURANCE**

Disability and ADD insurance are provided to medical students on both campuses by the UMMSM. Payment of insurance premiums is made using student fees collected each year at registration. Failure to pay student fees may result in termination of disability and ADD insurance. The current policy is "portable" and may be carried after graduation.
Specific information regarding disability insurance is available in the Office of Student Services.

**OSHA Bloodborne Pathogen Training / Formaldehyde Use**

Students are required to complete online OSHA Bloodborne Pathogen Training on an annual basis. The course is housed on Blackboard and includes an online examination.

Entering freshmen are also required to complete an online training module on formaldehyde use. The formaldehyde training module is made available online via Blackboard.

At the end of each online course there are exams that students must complete and pass to be certified. Exam results are reviewed by the Office of Student Affairs and become part of the official student record. Students will NOT be allowed to start clinical activities or participate in anatomy labs until they complete required training.

**Immunizations**

Students are required to demonstrate a history of immunization against Hepatitis B, Rubella, Rubeola, Varicella, Tetanus/Diphtheria/Pertussis (TDaP) and Mumps. Students who intend to travel outside the United States should check with the Center for Disease Control to determine what immunizations and other measures should be taken prior to their travel. Annual influenza vaccine is also required in accordance with UMMSM policy, with exemptions allowed for medical and religious reasons.

Students are required to undergo annual PPD testing to screen for exposure to tuberculosis. This service is provided free of charge by the employee health office and the Student Health Service during orientation.

Student immunization records will be maintained by the Student Health Center on the Coral Gables and can be accessed through an online system, My Student Health at: mystudenthealth.miami.edu.

For questions regarding access to immunization records and updates, students should contact the Student Health Service at 305-284-9100 or studenthealth@miami.edu.

**Occupational Health: Exposures and Needlesticks**

Any medical student who experiences an occupational exposure or needlestick injury should immediately call the University of Miami Employee Health Needlestick and Exposure dedicated phone line at 305-299-4684. Any needlestick injury or occupational exposure should also be reported to the Associate Dean for Student Services or the Assistant Regional Dean for Student Services to ensure appropriate follow-up care. Regardless of clinical training site, all students who experience an exposure must call the number above in addition to any advice or information being shared by the physicians or nursing staff at any clinical training site. The UM Employee Health team will communicate with local staff regarding the appropriate care and services available for all medical students. Any UMMSM students on externship at another institution should follow the protocol for medical students at that institution in addition to notifying the UMMSM Employee Heath hotline for coordination of care.
Instructions for Miami Campus students can be found at:

http://mededu.med.miami.edu/current-students/student-health/occupational-health

Additional instructions for the Regional Medical Campus students can be found at:
http://ren.mededu.miami.edu/MD_Curriculum/Misc/Healthcare/RMC_Occupation_Exposure_Policies.pdf

**INFECTIOUS AND/OR ENVIRONMENTAL DISEASE OR DISABILITY**

Any medical student disclosing an infectious disease to the medical education administration will be referred to the Associate/Assistant Regional Dean for Student Services to assist the student in accessing resources through the University of Miami Office of Disability Services. The Associate/Assistant Regional Dean for Student Services will work with the student and the ODS, following the guidelines established by the Centers for Disease Control (MMWR/July 6, 2012/ Vol. 61/No. 3), to determine appropriate and reasonable accommodations ensuring that there is no fundamental alteration in the student’s educational activities.

**STUDENT HEALTH SERVICES:**

Information about Resources available to medical students can be found on the Office of Student Services Website

**STUDENT HEALTH SERVICE (CORAL GABLES CAMPUS)**

All Miami campus medical students (including MS1 and MS2 MD/MPH students), regardless of health insurance plan, may access healthcare at the Student Health Service located on the Coral Gables Campus. The telephone number is 305-284-9100. Review information regarding the center at:
http://studenthealth.studentaffairs.miami.edu/

**MEDICAL STUDENT URGENT CARE (MEDICAL CAMPUS)**

ALL medical students (Miami and RMC) may obtain urgent care at the UM Family Medicine Faculty Practice for minor self-limited illnesses (e.g. upper respiratory infection, diarrheal illness, urinary tract infection, simple injuries, etc.). The Faculty Practice is located on the 5th Floor of the Professional Arts Center (PAC). Student health fees cover these visits and students should not be subject to any charge for the office visit. Lab testing will be billed to student insurance. Students may walk-in to access same day appointments.

**MEDICAL STUDENT URGENT CARE (REGIONAL MEDICAL CAMPUS)**

Students in need of urgent medical care at the RMC must seek services through their own providers and are responsible for any co-payments or fees incurred for the visit. Students may contact the Assistant Regional Dean for Student Services or look on the UMMSM student services website for a list of providers who have agreed to see UMMSM students and may provide services to students at convenient times, such as evenings and weekends.
Information for RMC can be found HERE

**UMH Emergency Room**

In case of a medical emergency, students on the Miami campus should go to the University of Miami Hospital (UMH) Emergency Room. Students should inform the staff that they are medical students. When off the Miami campus, students should go to the nearest ER or call 911 in the event of an emergency. Students should notify the Associate Dean for Student Services as soon as possible if they require urgent care or are admitted to the hospital.

**Pharmacy**

It is recommended that students shop around to obtain the lowest prices for prescription drugs. In some cases, local pharmacies at Publix or WalMart offer deeply discounted or even free medications.

- Students who carry the UM-negotiated UnitedHealthcare Student Health Insurance MAY find the cheapest options at the Walgreen’s Pharmacy at the Student Health Center on the Coral Gables Campus, located on the first floor of the Lennar Medical Center. *Walgreens at Lennar offers Students preferred pricing (lower cop-pays) for students with the UM-UnitedHealthcare insurance.*

  New prescriptions can be filled at the Lennar Walgreens, via mail-order or at any other UHC participating pharmacy.

- **Walgreen’s Pharmacy at UMH and UMHC participate as retail pharmacies. Discounts with UHC Student Health insurance will also be available.**

**Medical Student Behavioral Health**

Medical Student Behavioral Health is available to all medical students, providing both psychological and psychiatric services.

**Student Counseling Center, Coral Gables Campus**

For complete hours, location, and general information, visit [http://counseling.studentaffairs.miami.edu](http://counseling.studentaffairs.miami.edu)

The UM Counseling Center is the primary counseling and mental health provider for all UM students. We promote the personal well-being and academic achievement of students through comprehensive mental health services. The UM Counseling Center provides short-term time limited individual, couples, and group therapy as well as psycho-educational outreach programming and consultation. Urgent care and limited career and psychological assessments are also available. Psychiatric services are available to those under the care of a UM Counseling Center therapist. The UM Counseling Center staff consists of experienced professionals from the fields of mental health counseling, psychology, social work, and psychiatry. Student Fees cover services, and all counseling services are included in your Student Health and Counseling Fee.
• Schedule appointments online via [WEBSITE](#) for UM Student Counseling Center or by calling 305-284-5511
• WALK-INS available for urgent visits
• Same day visits may also available by calling daily at 8:45 AM
• Visits with psychologists, counselors, and LCSWs covered by student health fees for Miami Campus - FREE
• For complete hours, location, and general information, visit [WEBSITE](#)

DR. ADDYS KARUNARATNE (‘DR. K’) AND MS. YVONNE RODRIGUEZ WORK DIRECTLY ON THE MEDICAL CAMPUS 5 DAYS PER WEEK.
TO SCHEDULE AN APPOINTMENT TO SEE THEM ON THE MEDICAL CAMPUS, PLEASE FOLLOW THE DIRECTIONS LISTED HERE
ALTHOUGH THEY HAVE HOURS ON THE MEDICAL CAMPUS, YOU WILL STILL NEED TO CALL THE GABLES CAMPUS CENTER AT 305-284-5511 TO SCHEDULE. DIRECTIONS ARE LISTED ONLINE.

**Psychiatric Services**

**Medical Student Behavioral Health Service, Miller School of Medicine Campus**

The Medical Student Behavioral Health Service (MSBHS), managed by the Department of Psychiatry and Behavioral Health, offers outpatient mental health services to medical students. Service is provided by licensed psychiatrists. The service is completely confidential. The service provides acute care, elective care, and follow-up care.

**Evaluations and Treatment with UMMSM Faculty in Dept of Psychiatry**

• Starts with 5 free visits, more as needed
• **Weekday regular workday hours**

Appointments can be scheduled by calling 305-243-2774 or by direct referral from the Associate Dean for Student Services. After hours, and on weekends and holidays, students may leave a message on the dedicated phone line for non-emergencies. Emergencies must be handled as noted below.

Emergency referrals:
Off campus psychiatric emergencies will be referred to 911.
On campus psychiatric emergencies will be referred to 911 or, if deemed appropriate, the student will be brought to the UMH emergency room and will ask for the UM Psychiatry faculty attending or the UM faculty on call (after normal hours).
In the event that a student self-refers to the MSBHS and it is deemed that the student is a potential danger to herself/himself or others, the treating clinician MUST immediately notify the medical education administration.

**Behavioral Health RMC**

Henderson Student Counseling Services, managed by Henderson Behavioral Health, Inc. offers outpatient mental health services to medical students by a licensed mental health counselor. The service is completely confidential. Students are not charged for this service for the first five visits, and the need for additional care is evaluated on a case-by-
case basis. Students can make an appointment by calling the dedicated phone line (954-424-6868) and/or seeking assistance from the Assistant Regional Dean for Student Services.

Students in need of psychiatric care can be seen by a psychiatrist from the Medical Student Behavioral Health Service (MSBHS), managed by the Department of Psychiatry and Behavioral Health located in Boca Raton. Students should use the phone number for the main campus behavioral health services: 305-243-2774. The phone number for this service is also posted on the student health services website.

Services provided include:
- **Acute Care**: Medical students who are experiencing significant psychological distress or dysfunction, but who are in no immediate danger to themselves or others, will be seen within three (3) regular business days.
- **Elective Care**: Medical students who seek care but who are not experiencing significant psychological distress or dysfunction will be seen within ten (10) business days.
- **Follow-up Care**: Four (4) additional follow-up visits will be provided per new occurrence by the Henderson provider and/or the MSBHS. Exceptions to this policy can be made on a case-by-case basis. Referrals to community providers are available upon request.

Appointments can be scheduled by calling the agency numbers as posted on the UMMSM student services website or by direct referral from the Assistant Regional Dean for Student Services. After hours, and on weekends and holidays, students may leave a message on the dedicated phone line for non-emergencies. Emergencies must be handled as noted below.

Emergency referrals will be referred to 911.

In the event that a student self-refers to Henderson student counseling and/or the MSBHS and it is deemed that the student is a potential danger to himself/herself or others, the treating clinician MUST immediately notify the medical education administration.

**STUDENT HEALTH SERVICES: REGIONAL MEDICAL CAMPUS**

All RMC students are encouraged to identify a primary care physician to oversee their health care. RMC students must seek services through their own providers and are responsible for any co-payments or fees incurred for the visit. Students may contact the Assistant Regional Dean for Student Services or look on the UMMSM student services website [HERE](#) for a list of providers who have agreed to see UMMSM students and may provide services to students at convenient times, such as evenings and weekends.

**WELLNESS**

Students are encouraged to engage in activities that promote health and wellness. There are several resources available both on and off the medical campus.

**MEDICAL WELLNESS CENTER: MIAMI CAMPUS**

The UMMSM Medical Wellness Center is a state-of-the-art, 60,000-square-foot facility offering a wide range of health and fitness programs and services. All UMMSM students assigned to the Miami campus are required to pay the annual membership fee of $518. This fee is waived for students assigned to the RMC campus. The annual fee also provides access to the Student Wellness Center on the Coral Gables campus. A complete list and
description of services, as well as hours of operation, are provided on the web at http://wellness.studentaffairs.miami.edu/

**STUDENT WELLNESS CENTER: CORAL GABLES CAMPUS**

The University of Miami Wellness Center, located on the Coral Gables Campus, offers a wide array of facilities and services to the UM community. Medical students receive full reciprocity benefits to utilize both the Medical and Gables campus wellness facilities. A complete list and description of services, as well as hours of operation, are provided on the web at http://wellness.studentaffairs.miami.edu/.

**WELLNESS: REGIONAL MEDICAL CAMPUS**

Students at the Regional Medical Campus may join local gyms and other wellness centers. Students should contact the Assistant Regional Dean for Student Services for more information.

**GUIDANCE FOR PREGNANT OR PARENTING STUDENTS:**
Students who are parents or considering becoming parents can review the Guide regarding policies, procedures, and support HERE https://titleix.miami.edu/_assets/pdf/documents/pregnant-and-parenting-students_guidance.pdf

**SPECIAL ACCOMMODATIONS – AMERICAN WITH DISABILITIES ACT (ADA)**

**OFFICE OF DISABILITY SERVICES**
The Office of Disability Services (ODS) is the primary university office responsible for the coordination of auxiliary aids and services for students with disabilities. The Office of Disability Services (ODS) provides academic accommodations and support to ensure that students with disabilities are able to access and participate in the opportunities available at the University of Miami. Individuals with disabilities must request academic accommodations through the Office of Disabilities. Accommodations are determined on a collaborative and case-by-case basis and are based on the documentation provided by the individual. ODS staff will work collaboratively with students to determine what academic adjustments and educational auxiliary aids are reasonable to ensure that students with disabilities are not subject to discrimination. In this regard, documentation is reviewed and accommodations are assigned in accordance with Section 504 of the Rehabilitation Act of 1973 and the Americans with Disabilities Act of 1990 (ADA), including the Americans with Disabilities Amendments Act of 2008.

Under the Americans with Disabilities Act (ADA) of 1990, Americans with Disabilities Amendments Act of 2008, and Section 504 of the Rehabilitation Act of 1973, qualified individuals with disabilities are protected from discrimination and may be entitled to reasonable accommodations and/or equal access to programs and services. The University of Miami follows and abides by the definition of disability set forth in the foregoing statutes. In this regard, in determining whether a person is a qualified individual with a disability, the University of Miami does not take into consideration the ameliorative effects of mitigating measures other than ordinary eyeglasses or contact lenses.
Information is available to prospective and enrolled students, their parents and/or sponsors. The Office of Disability Services (ODS) is located in the Camner Academic Resource Center in Whitten University Center N201. ODS staff can be reached at 305-284-2374 (Voice), 305-284-3401 (TDD) or 305-284-1999 (Fax). Office hours are 8:30 am to 5:00 pm, Monday through Friday. Individuals may email the office staff at disabilityservices@miami.edu for quick responses to questions.

To review the comprehensive information regarding Eligibility for Services, Documentation Requirements and Process for Application, please consult the CAMNER CENTER FOR ACADEMIC RESOURCES WEBSITE

e-mail: disabilityservices@miami.edu
Phone: (305) 284-2374  Fax: (305) 284-1999

REQUESTING ACCOMMODATIONS AT THE UMMSM

If ODS believes that a UMMSM medical student qualifies for accommodations under the ADA, its findings and recommendations will be submitted to the Office of Student Services for final review and implementation by the Associate/Assistant Dean for Student Services. The student will be notified in writing by ODS and advised to meet with the Associate/Assistant Dean for Student Services to review the process the student must follow for the accommodations to be provided. The implementation process will be overseen by Mr. Lamar Martin, Medical Student Disability Coordinator and Associate Director of Academic Enrichment Programs, I.martin4@miami.edu or 305-243-1047.

FINANCIAL PLANNING AND ASSISTANCE

The Office of Financial Assistance is available to provide students with direction in acquiring the funds necessary to complete their medical education. Limited scholarship funds are available. Students who receive scholarships from the University should familiarize themselves with the scholarship renewal policy described below.

The majority of financial assistance obtained by students is through student loan programs sponsored by the federal government. The Office of Financial Assistance is available to help students understand the various loan options available and to assist them with financial planning and debt management.

Students at the Regional Medical Campus will apply for financial assistance through the Office of Financial Assistance at UMMSM and can obtain advice and counseling from the Office of Financial Assistance on the UMMSM medical campus in person, over the phone or electronically.

TUITION AND STUDENT FEES

Annual tuition is assessed at the time of registration at the beginning of each semester. Students with outstanding balances will not be allowed to register for the following semester. All tuition and fee payments should be made directly to the Office of Student Accounts at the Coral Gables Campus.

Students should refer to the medical education website to view latest information about tuition, fees, and cost of attendance information.
TUITION REFUNDS AND PRORATED TUITION

Students are expected to pay no less than 8 semesters of full tuition during their matriculation at the UMMSM. Students required to repeat parts of the curriculum due to academic deficiencies will not be expected to pay additional tuition.

TUITION REFUNDS

When a student takes a WWITR that will result in a change in their anticipated date of graduation, a refund may be provided if they have paid for credits not yet taken. The refund will be determined on a case-by-case basis and will be based out of the total number of credits required for each academic year (i.e. MS1 year= 40 total credits, MS2 year= 38 total credits, etc.).

PRORATED TUITION

When a student is required to repeat all or part of an academic year due to academic deficiencies, the tuition may be prorated as follows:

- Annual tuition/total number of credits required per year = cost per credit
- Cost per credit x number of credits attempted = prorated tuition

Mandatory Fees Refund

When a student has attended at least the first day of the semester and then takes a leave of absence (WWITR) that will result in a change in their anticipated date of graduation, mandatory fees are non-refundable, including the Disability and Accidental Dismemberment Insurance fee that is required for all students on leave.

Health Insurance Fee Refund

Deadlines for students to waive the health insurance are: July 15th for MS2-4 and MS1 MD/MPH classes, and August 15th for MS1 MD class. In the event the student wishes to waive an active policy, prior to 60 days from the start of the policy, the following criteria must be met in order to receive a refund: 1. UHC verifies that there are no claims that were paid by the policy. 2. The Student Health Service verifies that the insurance presented by the student for waiver is active. Student Health Services will process a credit for the insurance in the student’s Canelink account and the Office of Student Account Services will process a refund. The student will receive an email indicating the amount of the refund to be issued. Refunds are issued either by direct deposit or a check.

Scholarship Renewal Policy

The Scholarship Renewal Policy applies to all UMMSM students on both campuses. All scholarships granted by the UMMSM are subject to annual renewal based on the conditions outlined below.

Scholarship recipients must be enrolled in the UMMSM and attending classes to continue receiving their scholarship.
Scholarship recipients must remain in good academic standing. Any academic scholarship recipients who are required to repeat all or part of an academic year due to academic difficulties are placed on academic probation and forfeit scholarship awards during that time. Students may petition for institutional scholarships to be reinstated when they come off probation.

All medical students, including scholarship recipients, must maintain the high ethical and professional standards of the UMMSM. Scholarship recipients who are found guilty of misconduct by a promotions committee, the medical education administration, or in view of the view of the Dean of the School of Medicine, have violated the professional standards of the UMMSM, will immediately forfeit their scholarship awards and will not be eligible to receive scholarship support for the remainder of the time they are enrolled at the UMMSM. Scholarship recipients have the right to appeal the forfeiture of their scholarship awards to the Scholarship Committee for review. After the Scholarship Committee reviews an appeal, recipients have the right to appeal an adverse decision to the Dean of the UMMSM, or to such other person(s) or committee the Dean may designate.

**SATISFACTORY ACADEMIC PROGRESS (SAP)**

The progress of each UMMSM student is carefully monitored. Satisfactory Academic Progress (SAP) is defined by both qualitative and quantitative standards as described below. Academic progress of each student is reviewed at the completion of each academic year and is deemed satisfactory if standards are met.

**Qualitative standard**

Academic progress is not measured by a cumulative grade point average. Students are graded Pass (P), Remediated (R), or Fail (F) throughout their matriculation. Students must achieve a minimum grade of “P” or “R” (satisfactory completion of work) in all required courses, electives, and clerkships to progress to the next academic year (class of 2021, 2022, 2023) or phase of the curriculum (class of 2024).

Each year at the completion of the spring semester, a student's cumulative completed-credits percentage is determined to ensure at least 67% of all credits attempted toward the M.D. degree are completed. The total credits attempted to date (including any course in which the student was enrolled with grades of Withdraw Pass (WP), Withdraw Fail (WF), and Incomplete (I)) will be compared to the total credits actually earned.

Students are eligible for federal financial aid if they progress through the program with passing grades while successfully completing at least 67% of all credits attempted and in accordance with federal financial aid regulations. Students approved to repeat coursework are making Satisfactory Academic Progress as long as they meet the conditions established by the Class/Phase Promotions Committee.

**Quantitative standard**

Students must successfully complete the required MD courses of study within a required time frame. The usual time frame to complete required MD coursework is four academic years. Students may require additional time for academic or personal issues. In these
cases, a student may be required to repeat part or all of an academic year of study as part of the recommendations and academic plan mandated by a promotions committee.

The aggregate amount of time to complete requirements solely for the MD degree (regardless of the degree program, eg. MD, MD/MPH, MD/MBA, MD/PhD) should not exceed 6 years.

A student may be granted a leave of absence for a variety of reasons. The period of leave for which the student has been approved may be excluded from the maximum time frame in which an individual student will be expected to complete the program.

**Enforcement**

The Office of Student Affairs and the Promotions Committees collaboratively have the responsibility for monitoring and enforcing Satisfactory Academic Progress. The Financial Aid Office will be alerted to students not achieving SAP. Please refer to “Satisfactory Academic Progress and Federal Financial Aid” located in the Student Support Services portion of the Handbook to understand how this may impact a student’s financial aid package.

**SATISFACTORY ACADEMIC PROGRESS AND FEDERAL FINANCIAL AID**

Students receiving federal financial aid must exhibit evidence of Satisfactory Academic Progress according to Title IV federal regulations. Students who fail to make Satisfactory Academic Progress at the end of the academic year will not be eligible for federal financial aid in the term that follows. These students will be notified in writing by the Office of Financial Assistance.

**Financial Aid Appeal**

Students who fail to make satisfactory academic progress may submit an appeal to the Financial Aid Appeals Committee comprised of members of the Office of Financial Assistance, Dr. Richard Weisman, and Dr. Barry Issenberg. The appeal must state the circumstances contributing to the student’s failure to make satisfactory academic progress (e.g. family emergency, death in the student’s immediate family, a student’s medical illness, or other undue hardships), and the changes in circumstances that will allow the student to demonstrate satisfactory academic progress at the next evaluation.

**Financial Aid Probation**

Once an appeal has been approved, a student is placed on financial aid probation and is eligible for federal financial aid. The promotion committee that provides a recommendation for that student will have developed an academic plan for the student. This plan, at a minimum, will require that the student must meet the UMMSM’s Satisfactory Academic Progress standards by the end of the academic year during which financial aid probation is in effect. During this time, the student will be monitored at the end of each academic semester to ensure that the conditions of the academic plan continue to be met. A student who does not comply with the satisfactory academic progress standards by the end of the financial aid probationary period is suspended from receiving further federal financial aid. A student shall be reinstated for financial aid
eligibility when they satisfactorily complete sufficient coursework to meet the standards of progress within the appropriate time frame. The Office of Financial Assistance will subsequently inform any student whose financial aid has been impacted.

**SECURITY**

**MIAMI MEDICAL CAMPUS**

University of Miami security guards are on duty 24 hours a day at the Rosenstiel Medical Sciences Building and around the UMMSM campus. Services provided by the Department of Public Safety include parking, crime prevention, maintaining security systems, handling campus emergencies, and providing employees and students with identification and access cards.

Security can provide access to rooms designated for students that are locked at night (conference rooms, labs, etc.). A security guard is available to escort students at night between buildings, to the parking lots, and to Metrorail. Security also provides services to students with car problems (i.e. jump start, lockouts, towing). The Division of Public Safety maintains detailed information, including medical campus alerts, on the following website: [http://security.med.miami.edu/alerts](http://security.med.miami.edu/alerts)

The following are contact numbers for Public Safety on the UMMSM Campus:

- Emergency Assistance: 305-243-6000
- Non-Emergency Assistance: 305-243-7233 (243-SAFE)
- Security Escort: 305-243-7233 (243-SAFE)

I.D. badges must be worn at all times in UM/JMH facilities. Stolen or replaced I.D. badges should be reported to the Security Department as soon as possible. The main security office is in the Dominion Tower Roof Garden; 305-243-6280. A second security office is located on the first floor of the Rosenstiel Building. Security posts are located at the main entrances of most buildings on campus, including the Rosenstiel Building, Jackson Memorial Hospital, Bascom Palmer Eye Institute, and the University of Miami Hospital and Clinics.

**REGIONAL MEDICAL CAMPUS**

Security services at the Regional Medical Campus vary based upon the clinical site. Escorts are available at core clinical sites as below:

- JFK: extension 87340 or dial 0 for the operator
- VA Medical Center: Call 0 on hospital phone
- Broward General: In house Dial x5350
- Jupiter: In house ext. 3800
- Holy Cross: In-house x 3099
- South County Mental Health Center: escort available from onsite staff
HURRICANE / EMERGENCY PLAN

In the event of a civil disturbance, storm, or any other disaster, communication becomes a critical element for members of the University community. Command centers have been established by the University to disseminate accurate, timely information in the case of an emergency. The command center will have the latest official information regarding work, class, clinic, and hospital schedules. Information generated from the command center comes directly from University officials and is reviewed and approved by the Dean.

In the event of a hurricane or emergency, information will be conveyed to students by the medical education administration when possible or by the university’s rumor control hotline.

It is imperative that students maintain accurate contact information, including home telephone numbers and cellular phone numbers in CaneLink. Students are responsible for updating their contact information CaneLink whenever any changes occur. This is critical for the University to contact students through the Emergency Notification Network.

The University of Miami Emergency Notification Network (ENN) is the comprehensive communications solution that allows the University to quickly disseminate an urgent message through multiple communications mediums. If there is a condition, which significantly threatens the health and safety of persons on campus or impacts normal campus operations, university officials will warn the campus community using one or more communication methods. Learn more by visiting the ENN webpage:

http://security.med.miami.edu/alerts/alerts-emergency-notification-network

Full cooperation with updating contact information is imperative and failure to do so during an emergency will be considered a serious breach of student conduct.

DISASTER/HURRICANE/EMERGENCY PLAN

Anyone who has any questions or requires information during an emergency should contact the rumor control hotline at 305-243-6079. Information provided by the rumor control hotline supersedes any other information that may be disseminated by the media or any of the UM affiliated hospitals or clinics.

Additional information regarding the University of Miami’s policies and procedures in the event of emergencies is provided at:

http://security.med.miami.edu/policies-procedures

Information resources on disaster preparedness can be found at:

http://security.med.miami.edu/preparedness-disaster-resiliency

STUDENT MISTREATMENT POLICIES

University Nondiscrimination Statement

It is the policy of the University of Miami and the UMMSM that no person in the University of Miami may be excluded from participation in, be denied the benefits of, or be subjected to discrimination or harassment under any educational or employment program or activity on the basis of race, color, religion, sex (including pregnancy), sexual orientation, gender
identity or expression, genetic information, national origin, age, disability, marital status, familial status, or other prohibited classification. This includes all forms of sexual harassment, sexual violence, domestic violence, dating violence, and stalking. Retaliatory actions against any person who has, in good faith, reported a potential violation, or participated in a subsequent investigation, is also prohibited.

Reports of Sexual Misconduct (which includes sex- or gender-based discrimination, sexual harassment, sexual assault, sexual battery, domestic violence, dating violence and stalking) will be addressed in accordance with the University’s Sexual Misconduct Policy and Grievance Procedures (described below).

All other complaints of discrimination, harassment or retaliation will be addressed in accordance with the Grievance Procedures for Matters that Do Not Involve Sexual Misconduct described below.

**Sexual Misconduct Policy and Grievance Procedures**
The University of Miami’s Sexual Misconduct Policy (with sexual harassment, discrimination, and retaliation policy, and applicable grievance procedures) is available online at titleix.miami.edu

For sexual misconduct complaints, the applicable grievance procedure is determined by the relationship of the accused person to the University, as detailed in the Policy.

Everyone should be aware that nearly all University employees who receive a sexual misconduct complaint involving a member of the University community have a legal obligation as a Responsible Employee to report promptly the complaint to the Title IX Coordinator.

**Confidential Resources** (those who are not required to report the full details of the complaint to the Title IX Coordinator) are limited to members of the Sexual Assault Resource Team (SART), Medical Professionals when acting in their clinical capacity, Campus Clergy, and the Counselors and Staff of the UM Counseling Center.

The University’s **Title IX Coordinator** may be reached directly at titleixcoordinator@miami.edu or (305) 284-8624. In addition, the University has designated administrators as:

- **Deputy Title IX Coordinators**, who assist specific members of the University community within their role or campus location. A current list of Deputy Title IX Coordinators is posted at titleix.miami.edu under Title IX Coordinators.

- **Title IX Liaisons**, who help provide sexual misconduct resources to the Miller School community, and help develop and implement sexual misconduct prevention and awareness programs. The list of Title IX Liaisons is posted at titleix.miami.edu under Title IX Liaisons.

You may contact any of these individuals to report concerns relating to your own personal situation or that of a friend.

For additional information about the Sexual Misconduct Policy and additional resources, please visit titleix.miami.edu.

**Grievance Procedures for Matters that Do Not Involve Sexual Misconduct**

Under the University’s grievance procedures, UMMSM students may address their complaints of discrimination, harassment, or retaliation by reporting such complaints to the
University in writing, via the secure online reporting systems of ‘CaneWatch/University Hotline: (https://secure.ethicspoint.com/domain/media/en/gui/32533/index.html) for issues related to learner mistreatment, using the Physicianship Incident Reporting System for non-learner mistreatment issues (http://mededu.med.miami.edu/physicianship-and-professionalism) either informally or formally. Subject to the University’s statutory disclosure requirements under the Clery Act or any other applicable statutes, all reasonable efforts will be made to preserve and protect the confidentiality of all parties involved, provided that these efforts do not interfere with the University’s ability to investigate and take appropriate corrective action. Students are encouraged to file a complaint within thirty (30) calendar days from the date the incident occurred; however, if extenuating circumstances occur, complaints may be filed later than the 30-day period. Retaliation or subsequent harassment against a student who files such a complaint or participates in an investigation is prohibited.

INFORMAL (VERBAL)

Students, who feel they have been discriminated against or harassed, may contact the Associate/Assistant Dean(s) for Student Services, the Associate/Assistant Dean(s) for Student Affairs, Regional Dean for Medical Education or the medical school ombudsman to receive necessary counseling and/or arrange for an investigation of the complaint. Within five (5) working days of the student’s complaint, the dean or ombudsman is responsible for notifying the Office of Workplace Equity and Performance (WEP) of the action taken to resolve the matter so that a confidential record can be maintained by the Office of Student Affairs and WEP.

A student who is not satisfied with the informal resolution to their complaint should file a formal complaint with WEP within ten (10) working days of the receipt of a final response from the appropriate dean or ombudsman to WEP.

FORMAL (WRITTEN)

All formal complaints of discrimination/harassment shall immediately be reported to the Director of Workplace Equity and Performance in the WEP Office; you can file a complaint online at: https://umiami.qualtrics.com/jfe/form/SV_4NKlMMgEVgkTEB7

This office will also be available to assist complaint facilitators, ombudsperson, students, administrators, and faculty in the complaint. Any University student who believes that she or he has been subjected to discrimination, harassment, or retaliation may file a complaint through the appropriate procedures described below.

A. Students must report their complaints as follows:

1. **Student - Student Complaint**

   Complaints of discrimination, harassment (NOT including sexual violence, domestic violence, dating violence and stalking) or retaliation by a University student against another University student shall be reported to the Associate Dean for Student Affairs; you may contact the Associate Dean through the Office of Student Affairs by e-mail or by phone at (305) 243-2003.

   Complaints may also be filed online via the secure online reporting systems of ‘CaneWatch/University Hotline:
(https://secure.ethicspoint.com/domain/media/en/gui/32533/index.html) for issues related to learner mistreatment, using the Physicianship Incident Reporting System for non learner mistreatment issues (http://mededu.med.miami.edu/physicianship-and-professionalism). In cases where the students involved are students of other University schools or colleges, reports should be directed to WEP as noted above. If the student has obtained a judicial no-contact or restraining order as a result of the incident/s, a copy should be provided to the Associate Dean for Student Affairs so that the UMMSM may take appropriate steps if necessary.

2. **Student - Faculty Complaint**
Complaints of discrimination, harassment or retaliation by a faculty member against a student of the University shall be reported to WEP as noted above.

3. **Student - Administrator / Employee Complaint**
Complaints of discrimination, harassment or retaliation by an administrator/employee against a student of the University shall be reported to WEP as noted above. WEP will collaborate with the appropriate individuals/offices as necessary in order to investigate the complaint.

4. **Student - Third Party Complaint**
Complaints of discrimination, harassment, or retaliation by a third party (including visitors, patients, applicants, vendors, others) against a student of the University shall be reported to WEP as noted above. WEP will collaborate with the appropriate individuals/offices, as necessary in order to investigate the complaint.

B. **Complaint Facilitator- Ombudsperson**
In addition to the above persons in positions of authority, students may contact the University ombudsperson who is a member of the University community and serves as a support to the complainant and advisor on the policies and procedures on how to report a grievance. The Ombudsperson’s responsibilities shall include listening to complaints and providing necessary counseling on how to handle these concerns, accompanying the individual in a meeting, as appropriate, with the person in position of authority and providing guidance on the University’s non-discrimination/anti-harassment grievance procedures. The processing of complaints by the Ombudsperson shall include involvement by WEP and the Office of Student Affairs. The Ombudsperson shall not make policy, override a policy or investigate a complaint.

The Ombudsperson shall notify WEP immediately of student’s complaint and the action taken to address the matter so that a confidential record can be maintained by WEP.

The University Ombudsperson can be reached at: http://ombudsperson.med.miami.edu/ or via phone at: 305-243-8400.

C. **Verbal Complaint**
Any student, who believes that she or he is the subject of harassment (NOT including sexual harassment), discrimination, or retaliation, is encouraged to seek resolution by reporting the matter to the appropriate office. The Associate Dean for Student Affairs or Services shall make every reasonable effort (normally within fifteen (15) working days) to promptly inquire into the facts of the charge of discrimination/harassment upon becoming knowledgeable of the complaint. The responsibility of the associate dean is to listen to the student grievance, investigate the facts surrounding the complaint, provide counsel and
attempt to achieve a mutual resolution between/among the parties. The complainant has the right to end the informal resolution process at any time and begin the formal grievance procedure by filing a writing complaint.

The Associate Dean shall, within five (5) working days of the resolution, provide a written statement to WEP specifying the resolution of the problem or complaint.

D. Written Complaint

A student is required to submit a written statement to the Office of Student Affairs (student-student) or WEP for all cases. The written statement is to include the following: the name(s) of the complainant(s), the accused, and any witnesses; the date, time, location, and details of the incident(s); and the desired resolution. Students who do not provide this information may impede a thorough investigation of the complaint. Nonetheless, the student shall receive counseling and guidance from the Office of Student Affairs (student – student) or the WEP for all other cases.

E. Investigation

Upon the filing of a written complaint by a student, the Office of Student Affairs or WEP shall contact the Dean of the UMMSM of the commencement of the investigation. Normally, the investigating office shall have up to 30 to 60 calendar days to investigate the charges of discrimination/harassment. Such investigations shall consist of, but not limited to, interviewing the parties involved, interviewing witnesses with relevant and material information, and reviewing related supporting documents.

Any individual who knowingly provides false information pursuant in connection with the filing/submission of a complaint of discrimination, harassment or retaliation, or during an investigation will be subject to appropriate discipline, up to and including dismissal from the University.

At the conclusion of the investigation, the Office of Student Affairs or WEP shall notify the Dean of the Miller School of Medicine of the results of the investigation and collaborate on the resolution and implementation of any corrective action, as well as follow up in writing with the student/respondent regarding the complaint. The Office of Student Affairs reserves the right to refer any case to the Dean of Students on the Coral Gables campus for investigation and adjudication.

1. In cases where the accused is a student and it is determined that the reported behavior may represent a violation/s of the Equal Opportunity/Non-Discrimination/Anti-Harassment/Non-Retaliation Policy, the Grievance Procedure Involving Medical Students will be utilized to process the investigation and subsequent case. Please consult the Grievance Procedure Involving Medical Students attached at end of this policy for a detailed description of the disciplinary process. In cases involving alleged violations of the Equal Opportunity/Non-Discrimination/Anti-Harassment/Non-Retaliation Policy, the standard of evidence that will be used will be the preponderance of the evidence standard. Additionally, in connection with the processing of such cases, the complaining and responding parties will have the option to be accompanied by an advisor of their choice. Possible sanctions in response to findings of violations of the Equal Opportunity/Non-Discrimination/Anti-Harassment/Non-Retaliation Policy may include probation, suspension, dismissal and/or expulsion from the Miller School of Medicine and/or the University. At the conclusion of the investigation and/or the disciplinary
proceedings, the students involved will be simultaneously informed, in writing, of the findings and any corrective/disciplinary actions.

2. In the case where the accused is faculty member, the matter shall be reviewed by the UMMSM’s Senior Associate Dean for Faculty Affairs who with the Vice Provost for Faculty Affairs and Provost, shall make a determination as to whether the charge is to be referred to the Committee on Professional Conduct for processing in accordance with the procedures set forth in the Faculty Manual. Further detail is available in the Faculty Manual. Separate and apart from a referral to the Committee on Professional Conduct, the WEP, the Vice Provost for Faculty Affairs and/or the Dean of the UMMSM (or her/his designee) may take appropriate corrective action(s). At the conclusion of the investigation and/or the proceedings before the Committee on Professional Conduct, the student and the faculty member will be informed of the investigation’s findings and any corrective/disciplinary actions.

3. In the case where the accused is an administrator/employee, WEP will conduct an investigation and in conjunction with the respective dean/vice president shall implement appropriate corrective action to be taken. At the conclusion of the investigation, the student and the employee will be informed of the investigation’s findings and any corrective/disciplinary actions.

A complainant not satisfied with the decision shall have ten (10) working days to request an appeal in writing to the University’s Vice President for Human Resources. A request for an appeal by the complainant or respondent shall state specific reasons why the findings/resolution was improper. The appeal is limited to considering evidence not previously available to WEP (or designee). WEP will provide the Vice President with the written record of the investigation, the decision and other appropriate documentation not previously available.

The Vice President for Human Resources shall review the findings and respond to the request within ten (10) working days. If the Vice President for Human Resources determines that the decision is not supported by the evidence, the complaint will be reopened for further investigation. If the Vice President for Human Resources determines that the investigation was thorough and complete, the request for an appeal shall be denied, and the complainant/respondent will be notified that the internal discrimination complaint procedure is complete. The decision of the Vice President will be final.

F. Time Limits
Complaints of harassment, discrimination, or retaliation, both formal and informal, should be filed within thirty (30) calendar days from the date the most recent incident occurred. In addition, the Office of Civil Rights imposes a one hundred eighty (180) day time limit on filing a complaint.

G. Off Campus Programs and Activities
Students who feel that they have experienced discrimination, harassment, or retaliation while participating in off-campus programs and activities should immediately report such incidents to the Office of Student Affairs or WEP. Off-campus programs and activities include, but are not limited to, medical mission trips, national and international externships, applied learning experiences and or social activities.

Glossary of Terms

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• Assault
To threaten bodily harm or discomfort to another person or commit or aid in the commission of an act that causes bodily harm or discomfort to another person is prohibited. (For University purposes, self-defense or defense of another is limited only to the use of force sufficient to protect a person from injury by another.)

• Consensual Relationships
Amorous, romantic or sexual relationships (“amorous relationships”) between members of the University community, where one of the parties has academic, supervisory, administrative or other authority over a subordinate (junior) party are highly problematic, even when entirely consensual. The amorous relationship may create, or be perceived as creating a conflict of interest that undermines the objectivity of evaluations.

Policy
Members of the University community are prohibited from entering into amorous relationships with persons over whom they have evaluative authority. Supervisors who engage in an amorous relationship must take whatever steps are necessary to ensure that they do not simultaneously have evaluative authority and an amorous relationship in a way that disadvantages the subordinate (junior) party.

Procedures
Such steps may include, for example, withdrawing from a position as thesis advisor or teaching assistant supervisor. These steps should be taken in a way that does not disadvantage the junior party. If an amorous relationship develops with a subordinate / junior party (student) the supervisor/senior party (teaching assistant) must report the situation to the relevant program director, department chair, or dean, who will act to determine the best means of resolving potential conflicts and shall maintain the confidentiality of the information.

Failure to comply with this policy is prohibited.

• Harassment or Harm to Others
Any words or acts, whether intentional or a product of the disregard for the safety, rights, or welfare of others, which cause or result in physical or emotional harm to others, or which intimidate, degrade, demean, threaten, haze or otherwise interfere with another person’s rightful actions or comfort is prohibited.

• Hazing
Hazing and/or hazing-related activities are prohibited.

• Retaliation
To harass, threaten, or otherwise commit an act against another person who has reported a possible policy violation or who has participated in an investigation into the possible violation of a policy is prohibited.
• **Unauthorized Entry**

Any student who enters, attempts to enter, or remains in or on top of any room, building, motor vehicle, trailer, machinery or other structure without proper authorization may be subject to University disciplinary action, as well as arrest and prosecution by legal authorities.

See [University Sexual Misconduct Policy](#) for definitions of:

- Dating Violence
- Domestic Violence
- Responsible Employee
- Retaliation (as to Sexual Misconduct matters)
- Sexual Assault
- Sexual Battery
- Sex- or Gender-Based Discrimination
- Sexual Harassment
- Sexual Misconduct
- Stalking

**UMMSM Substance Abuse Policy**

Medical students who are aware of or suspect a colleague of abusing alcohol or drugs are encouraged to intervene and provide assistance, or to refer the matter to the medical education administration.

- If a medical student in good standing seeks help for substance abuse, support is available through the Office of Student Services, the Student Health Service or the Student Behavioral Health Service.

- If a medical student violates school policy or has academic difficulties and self-reports a substance abuse problem, assistance will be provided if the student acknowledges the problem, consents to treatment in an appropriate rehabilitation program, and complies with the program.

- If a medical student violates school policy or has academic difficulties, and fails to self-report a substance abuse problem that is later identified, disciplinary action up to and including dismissal or expulsion may be recommended.

- If a medical student with an identified substance abuse problem refuses to acknowledge the problem, or does not consent to therapy, or does not comply with a rehabilitation program approved by the medical education
administration, disciplinary action up to and including dismissal or expulsion may be recommended.

- If a student reports to school or to a clinical setting under the influence of alcohol or drugs, they will be immediately suspended and possibly dismissed or expelled from the UMMSM.

**UNIVERSITY OMBUDSPERSON**

At a University with over 12,000 students, it is understandable that some students may begin to feel lost in the shuffle. In addition, policies and procedures the University has created to assist students, may at times appear overwhelming. Recognizing the difficulties that you may face during and throughout the year, the University of Miami has identified a group of Troubleshooters and both medical school and University Ombudspersons to assist you.

**THE OMBUDSPERSON**

The Ombudsperson helps open channels of communication between students and the University community. The Ombudsperson listens to student grievances, investigates the facts surrounding the grievance and attempts to objectively make recommendations on how best to resolve the issues which are raised.

The Ombudsperson is:

- **Impartial**: Not an advocate or critic but considers the rights and interests of all parties concerned and advocates for a fair resolution. The Ombudsperson will consider the rights and obligations of the student and the University:

- **Private**: The issues presented remain in confidence upon the student's request unless otherwise required by University of Miami policies and procedures.

- **Informal**: Works with students to explore options and assists them in establishing communication with appropriate campus departments and offices.

**THE ROLE OF THE OMBUDSPERSON**

The Ombudsperson assists members of the University community in informal resolution of student disputes and grievances. Services rendered by the Ombudsman do not replace University of Miami’s formal grievance processes. The Ombudsperson:

- Answers questions or refers you to someone who can help.
- Interprets University of Miami policies and procedures and offers guidance.
- Recommends changes in policies and procedures when necessary.
- Mediates conflicts when requested.
- Provides educational programs for the campus community on negotiation, mediation, and conflict resolution.

**CONTACTING THE OMBUDSPERSON**
When regular channels have failed to bring resolution to your problem or when you are uncertain of what steps to take next, you should contact the Ombudsperson. It may be your first step or last resort. Speaking with the Ombudsperson may help clarify your thoughts or feelings about the situation and increase your awareness of alternatives. You can make an appointment by calling 305-775-1925 or by visiting: http://ombudsperson.med.miami.edu/.

Appendix I

PHYSICIANSHIP AND PROFESSIONALISM ADVOCACY PROGRAM (PPAP)

INTRODUCTION

Professionalism is an attribute and competency demanded of all physicians and an important component of the medical education programs at the University of Miami Miller School of Medicine (UMMSM).

Medical schools have a responsibility for the initial professional education of their students and for assisting in the development of professional attitudes and attributes among these students. The basic components of professional behavior can be clearly defined and monitored during the medical school experience. Forms and procedures initially developed at the University of California San Francisco (Academic Medicine 2004 79: 244-249) have been validated and adopted by an increasing number of medical schools, residency programs and professional organizations (AAMC, ACGME, ABIM, ABMS, AMA).

The Physicianship and Professionalism Advocacy Program (PPAP) establishes a process to monitor, evaluate and improve the professional behaviors of medical students at the UMMSM. It outlines the process for reporting concerns about medical student professionalism and sets forth the outcomes that may result from that process. It also outlines a process to report exceptional professional behavior or service of a student, house staff (resident), faculty or staff member. The forms used to document the assessment and communication of concerns to students about their professional behavior are included.

Recognizing the importance of establishing a professional environment to support the development of appropriate attitudes and behaviors, the PPAP also provides a mechanism for students to report concerns about the professional behaviors of the faculty, staff and administration.

The foundation underlying the prescription of student standards at the University of Miami is the conviction that the exercise of individual rights must be accompanied by related responsibilities. By accepting membership in the University community, a student acquires rights in, as well as responsibilities to, the whole University community. These rights and responsibilities are defined within the Medical Student Rights and Responsibilities Handbook. All students are subject to the policies and procedures as described in that
handbook. Any act that constitutes a violation or an attempt to violate any of the policies or procedures contained therein may be cause for disciplinary and/or legal action by the University.

Students may be the subject of actions taken because of academic deficiencies or professional concerns. Recommendations for disciplinary actions against a medical student because of a violation of a rule of conduct or inappropriate behavior may be made by a class promotions committee or the medical school administration. Actions taken because of academic deficiencies are initiated by one of the two promotions committees for each class (P1-SPC or J-SPC). The class promotions committees or the medical education administration can initiate disciplinary actions resulting from deficiencies in professional behavior.

**REPORTING PROCESS**

The PPAP establishes two processes to report and document unprofessional behaviors: the Physicianship Incident Report (PIR) and the Physicianship Evaluation Form (PEF).

In addition, the UMMSM also utilizes the Physicianship Commendation Reporting System (PCR) to report exceptional professional behavior or service of a student, house staff (resident), faculty or staff member.

**PHYSICIANSHIP INCIDENT REPORTS**

Physicianship Incident Reports can be generated by anyone, including course coordinators, promotions committees, the CHPC, the medical education administration, faculty, staff and medical students. Incident reports must be submitted via the Office of Student Affairs’ secure web-based Physicianship Incident Reporting System (PIRS). Incident reports must include the name of the individual of concern, the name of the concerned observer, the date of the incident being reported, the location of the incident, and a narrative description of the student’s behavior. Submitters of PIRs are required to identify themselves to allow for further investigation and to provide follow-up and closure to incidents. The Associate Dean for Student Affairs (ADSA) monitors the system, and investigates reports.

Students are asked to use the ‘CaneWatch/University Hotline reporting system (https://secure.ethicspoint.com/domain/media/en/gui/32533/index.html) to report incidents of learner mistreatment, where anonymity of the submitter is maintained.

When a PIR is submitted against a student, the ADSA will contact the subject of concern. Students who receive an incident report will be asked to respond via the PIRS, and this response will be appended to the incident report.

When a PIR is submitted against another member of the medical education community, Associate Dean for Student Affairs will investigate the incident and report any findings to the appropriate authority. Incident reports against a resident will be brought to the attention of her/his residency program director. Incidents against a faculty member will be brought to the attention of the faculty member’s departmental chair. Incidents against a member of the staff will be reported to the staff member’s supervisor. Incidents against a member of the administration will be brought to the attention of the Executive Dean for Education and Policy.
All PIR’s will be maintained in the PIR database. The PIR database will be used: 1) to generate quarterly reports, without student names, of the types of professionalism issues that have arisen are provided to the Council of Honorable and Professional Conduct (CHPC) for review; 2) to generate a report, with student names, to be reviewed at each class promotions committee meeting; and 3) to keep the Deans in medical education fully informed about students who are experiencing difficulties.

**Physicianship Commendation Reports**
The Physicianship Commendation Reporting System is **NOT** used to highlight academic excellence. It is used to report exceptional professional behavior or service of a student, house staff (resident), faculty or staff member. The recipient of the commendation report will be notified and if the recipient is a student, it will be recorded in the student’s file and potentially in the student’s Medical Student Performance Evaluation (MSPE or “Dean’s letter.”)

**Physicianship Evaluation Forms**
An incident that raises significant concerns about a medical student’s character and professionalism can be documented using the Physicianship Evaluation Form (PEF). The decision to complete and submit a PEF is the responsibility of the promotions committees or the medical education administration. When a PEF is generated, the submitting authority should also provide suggestions for corrective actions. PEF’s are submitted to the Associate Dean for Student Affairs (ADSA) who will follow up as described below. A student may appeal a PEF to the Executive Promotions Committee (EPC), and ultimately to the Dean of the School of Medicine. PEF’s are maintained in the student’s education record. Receiving multiple PEF’s can result in disciplinary action up to and including dismissal from the medical degree program. A student who receives multiple PEF’s may have that fact reported in his/her Medical Student Performance Evaluation (MSPE). However, even a single PEF may need to be reported to a state medical agency/licensing board.

**Disciplinary Actions due to Professionalism Issues**

**Physicianship Incident Reports**
If a PIR raises concerns about an individual student, that student will be notified in writing according to school policies and offered the opportunity to write a statement before being formally discussed at a future promotions committee meeting. Upon review of the information contained in the PIR and the student’s response, a promotions committee may take the following actions: no action, supportive intervention (SI), referral of the case to the CHPC, generation of a PEF, or other disciplinary sanctions as deemed appropriate. The medical education administration reserves the right to take prompt action if a PIR raises serious concerns about a student’s behavior.
Physicianship Evaluation Forms

PEF’s are presented to the student by the ADSA and the student’s response, including a corrective plan, is recorded. Students are encouraged to contact a faculty member of their choice to discuss the PEF and suggested corrective actions. In some cases the student may be referred to an advisor or other support service. Students may also consult with the Associate Dean for Student Services (ADSS) or the school ombudsperson. PEFs may need to be disclosed when applying for licensure. As noted above, students can accept the PEF or appeal it to the EPC and ultimately the Dean.

As shown in the table below, based on the nature of the concerns or the number of PEF’s that have been submitted for a student, disciplinary sanctions, up to and including dismissal, can be prescribed by the medical education administration. Any student who receives a PEF may be referred to professional development and support programs such as the Physician Development Program.

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X = no action; SI = supportive intervention; MSPE = Medical Student Performance Evaluation, report of professionalism concerns mentioned in dean’s letter and entered in permanent record; Discipline = disciplinary actions up to and including dismissal

**Appeals Process**
Students may appeal any individual PEF or any resulting sanctions to the Executive Promotions Committee (EPC) and ultimately to the Dean of the School of Medicine, who has ultimate decision-making authority in all disciplinary matters.
UNIVERSITY OF MIAMI MILLER SCHOOL OF MEDICINE
PHYSICIANSHIP EVALUATION FORM

Student name: _______________________________ Date: ____________

Complainant name: __________________________

Narrative of Incident:

______________________________________________________________________________
______________________________________________________________________________
______________________________________________________________________________
______________________________________________________________________________
______________________________________________________________________________
______________________________________________________________________________
______________________________________________________________________________
______________________________________________________________________________

This student has exhibited one or more of the following behaviors that need improvement to meet expected standards of physicianship as described below: (circle all that apply to this student’s behavior)

1. Honesty and Integrity
   a. Truthfulness
      i. Untruthful; misrepresents position/status; misuses resources; falsifies data, plagiarizes, cheats
      ii. Truthful to the point of blatant insensitivity; tactless
   b. Adherence to ethical principles
      i. Engages in unethical behavior
      ii. Sanctimonious, intolerant

2. Responsibility, Reliability, and Dependability
   a. Punctuality
      i. Exhibits a consistent lack of punctuality; does not adhere to deadlines
      ii. Values timeliness over quality
   b. Compliance
      i. Does not comply with policies, rules, regulations, and/or laws; does not attend required sessions
      ii. Inflexible; overly reliant on rules; rule-bound to the point of obstructionism
   c. Prioritization
      i. Personal affairs take priority over professional activities
      ii. Professional activities compromise personal and/or family life
   d. Accountability
      i. Overlooks inappropriate behaviors; avoids responsibility and work
ii. “Above the law;” not accountable to anyone; controlling; excessive fault-finding; self-righteous; self-aggrandizing

3. Respect for Others (colleagues, faculty, hospital and administrative staff)
   a. Appearance
      i. Poor hygiene; sloppy/dirty dress
      ii. Extremes of dress; provocative
   b. Interactions
      i. Arrogant, overcritical of others; demeans those in subordinate roles
      ii. Obsequious; goes overboard to please
   c. Teamwork
      i. Non-participatory
      ii. Dominant and authoritarian; uncooperative and overbearing

4. Altruism
   a. Concern for others
      i. Concern for self supersedes concern for others; self-centered; selfish; unwilling to extend self
      ii. Selfless to the point of taking needless risks; overextends self to own detriment

5. Empathy
   a. Compassion
      i. Emotionally unresponsive; exhibits little compassion for others; at times appears cold, indifferent and heartless
      ii. Objectivity is clouded by desire to help others; emotionally over-responsive and unduly empathic, resulting in inability to be objective or effective; gives misleading information in effort to console

6. Commitment to Competence and Excellence
   a. Goal setting
      i. Aimless; educationally adrift
      ii. Sets unachievable goals
   b. Motivation and Drive
      i. Has low standards of achievement; satisfied to “pass or make do”; aspires to minimum standards; complacent
      ii. Overly competitive; perfectionistic; answers for others when others are questioned

7. Self Assessment and Self Improvement
   a. Responsibility
      i. Makes excuses; displaces blame
      ii. Afraid to act for fear of making errors; assumes blame inappropriately; overly obsessive
   b. Feedback
      i. Resists feedback; defensive
      ii. Requires constant reassurance and feedback
   c. Self confidence
      i. Always insecure; unable to act independently
      ii. Overconfident; does not recognize own limitations

8. Respect for Patients
   a. Relationships
      i. Disrespectful to patients; insensitive to their beliefs, opinions, gender, race, culture, religion, sexual orientation and status
      ii. Accepting of all patients’ behaviors, regardless of their effect
b. Autonomy
   i. Disregard for patients’ autonomy, i.e. patients’ right to choose
   ii. Unable to provide limits for patients’ choice

c. Confidentiality
   i. Disregard patient’s confidentiality
   ii. Inappropriately upholds patients’ or others’ rights to confidentiality, thereby putting them and others at risk for adverse consequences (e.g. suicide, sexual assault, child abuse)

9. Other

Comments & Suggestions for Change:

_____________________________________________________________________________
_____________________________________________________________________________
_____________________________________________________________________________
_____________________________________________________________________________
_____________________________________________________________________________
_____________________________________________________________________________

Complainant Signature: ______________________ Date: ____________

This section is to be completed by the student.

I have read this evaluation and discussed it with the Associate Dean for Student Affairs. I can write a response for my permanent file, if I so desire.

Student Signature: ______________________ Date: ____________

ADSA Signature: ______________________ Date: ____________
APPENDIX II

UNIVERSITY OF MIAMI MILLER SCHOOL OF MEDICINE
CODE OF HONORABLE AND PROFESSIONAL CONDUCT

I. PREAMBLE

More than two thousand years ago, the ancient Greek physician Hippocrates described high standards of personal and professional conduct as part of the art and practice of medicine. In the spirit of this rich tradition, we, the students of the University of Miami Miller School of Medicine, create this Code of Honorable and Professional Conduct.

As aspiring physicians, we have dedicated ourselves to the study and practice of medicine for the betterment of humanity. We understand that few roles bear as much responsibility and respect as that of the medical practitioner. Yet, we are also aware of the struggles and pitfalls men and women may face in keeping true to the principles of honorable and professional conduct. We acknowledge these realities and wish to remain true to our core values in this journey. It is in this spirit that we commit to uphold the principles of this Code – to maintain respect for human dignity and the trust that is accorded to us; to develop habits of excellence which will foster our personal and professional development; and to be model citizens in this University and the greater community.

Accordingly, we, the students of the Miller School of Medicine, commit ourselves to the standards of conduct and behavior outlined in this Code of Honorable and Professional Conduct.

II. PROFESSIONAL INTEGRITY

A. Respect for Colleagues, Faculty, and Staff

1. Medical students shall demonstrate respect for all colleagues, faculty, and staff in our language and action. We will aim to resolve all of our concerns and disagreements with others using kindness and maturity.

2. Medical students will not engage in hazing, racist behavior, defamation, threats, or other forms of harassment. Physical or psychological abuse or intimidation of any member of the University of Miami or those who use its facilities will not be tolerated.

3. Medical students shall not compromise our professional integrity through the inappropriate use of public forums, including online social networking media. Students shall not share or post messages that may be viewed as offensive, malicious, or insulting.

4. Medical students will defer to those with superior knowledge or experience and shall respect the instructions of their superiors. However, students have the responsibility to seek changes when those requests seem inappropriate, unprofessional, or contrary to the wishes or best interests of patients.

5. Medical students shall do their best to arrive on time to all mandatory activities, including classes, small groups, and clinical duties. If one is expected to attend but unable to do so, every attempt must be made to notify the appropriate person of one’s absence or tardiness.
6. Medical students shall respect their peers by fulfilling their responsibilities when collaborating on projects or as members of clinical teams.

B. **Respect for Patients**

1. Medical students shall demonstrate respect for patients in language and actions. We will maintain empathy, humility, modesty, and respect for privacy during history taking and physical examinations. We will use language and behaviors that are non-threatening, non-sexual, and non-judgmental. We shall strive to build rapport with patients and their families, the relationships we form with them must be appropriate in every respect.

2. Medical students shall serve patients to the best of their ability regardless of diagnosis, race, sex, age, national origin, ethnicity, sexual orientation, disability, socioeconomic status, religion, or political beliefs.

3. Medical students shall strive to only be identified in a way that is consistent with their level of medical training. Students should not perform actions or accept patient care responsibilities that are beyond their level of comprehension or ability. When appropriate or necessary, students should ask for guidance, supervision or assistance from their superiors and colleagues.

4. Patient confidentiality must be maintained at all times. The details of patient care will not be discussed in public settings in order to preserve patient privacy. Patients’ medical and personal information will only be shared with health professionals involved in their care and those with explicit consent. In addition, students will not share or allude to patient information or cases on social networking media.

5. Students will ensure that all written medical documents, electronic records, and oral presentations pertaining to patients are legible, accurate, and complete to the best of their ability. Patient records and verbal communication about patients should not contain offensive or judgmental statements.

6. Competitiveness with colleagues should never adversely affect patient care or relationships with peers or faculty.

C. **Social Networking Media**

1. Social networking media such as Facebook, Twitter, and YouTube present unique challenges to medical professionals, including medical students. Students will be mindful that any photographs, video, messages, or personal information we choose to share have the potential to be viewed by our colleagues, superiors, patients, and others.

2. Confidentiality forms the core of patient-physician interactions and is necessary for patient’s ability to share sensitive information. In preserving confidentiality, medical students shall not share any details of patient information or clinical encounters through social networking media, including messages, photographs, or video. This principle extends to sensitive patient information or pictures obtained from international mission trips or experiences.

3. Medical students will not share any information through social networking media concerning cadavers or any other human materials from the Gross Anatomy Lab. Students shall be sensitive to this particular topic in all of their communications with their colleagues and others.

4. Students should take care when posting pictures or personal information which may depict them in ways that are deemed unprofessional. Such actions may
have a negative impact on how the medical professionals of the UMMSM are viewed. Students are encouraged to use sound judgment with regard to their day-to-day words and actions and also what they post online. The professional standards contained in this Code apply to student life both on and off-campus, online and offline.

D. **Substance Abuse**

1. Medical students shall strive to assist impaired colleagues in seeking professional help and to accept such help if impaired oneself.
2. Medical students will not participate in the care of patients while under the influence of substances that impair their judgment or their ability to care for patients.

E. **Respect for Community**

Students should recognize that all laws are established to benefit society. All laws, policies, and regulations at the university, local, state, and federal levels are to be upheld by students in all circumstances. The University of Miami Miller School of Medicine may proceed with disciplinary action whether or not civil or criminal proceedings have been instituted against the student, a right that may not be challenged solely on the grounds that the criminal charges have been dismissed or reduced.

III. **ACADEMIC INTEGRITY**

As one part of a larger academic and professional community, the medical students of this University take responsibility to ensure that our environment is conducive to the development of medical knowledge and skills. We realize that the health and lives of our patients will depend on precisely this knowledge and skill. The following list outlines the minimum standards we will meet in maintaining academic integrity.

A. Medical students understand and appreciate the sacrifice of individuals who provided their bodies for the benefit of our learning. Therefore, students will treat cadavers with the greatest respect in their presence and in their communications with fellow colleagues.

B. Medical students will display respect and professional courtesy to faculty and guest lecturers. Disagreements and personal grievances should be addressed constructively and in ways that are professionally acceptable.

C. Medical students will display respect and courtesy to colleagues, faculty, and lecturers by not disrupting classes, meetings, or other functions or otherwise unfairly interrupt colleagues in the pursuit of their education.

D. Students will help to establish optimal conditions for academic integrity by refraining from discussing the content of examinations in public places, where a fellow student might inadvertently receive an unfair advantage.

E. Any actions indicating a lack of honesty in academic matters is considered a violation of academic integrity. Students who willfully engage in such behaviors
may jeopardize their standing at the University and be subject to disciplinary action. Examples of such actions include:

- Giving or receiving unfair assistance;
- Using unauthorized materials or information on examinations or assignments;
- Plagiarism;
- Violating directions regarding examinations or assignments;
- Intentionally sabotaging another student’s academic performance by damaging or concealing any course or library materials;
- Falsifying documents, including attendance records; and
- Manipulating or manufacturing data in academic, clinical, or research matters.

IV. PROMOTION OF HONORABLE AND PROFESSIONAL CONDUCT AND REPORTING OF CODE INFRACTIONS

Our philosophy as medical students is to exhibit personal responsibility, rather than to aggressively and unreasonably monitor our peers. Dishonorable actions threaten the people within our care, our program, our class, and our education. Therefore, we accept the responsibility to uphold the principles of this Code and the spirit they embody.

A. Responsibility to Self

We recognize that personal accountability can be delegated to no higher authority than oneself. Accordingly, if a medical student feels they have committed a breach of this Code, they may approach their Council for Honorable and Professional Conduct (CHPC) Class Representative or other member of the CHPC for counsel. The option of meeting with the CHPC as a group for consultation is always available and encouraged for students to utilize. Depending on the nature of the situation, the CHPC may provide recommendations to the Medical Education Administration for further action.

B. Responsibility to Colleagues

Students who observe, suspect, or know of dishonorable or unprofessional conduct, are encouraged to promptly address the situation with the persons involved. Their approach must be grounded in the presumption of innocence. A student who takes responsibility for an infraction of this Code must be encouraged to contact either a member of the CHPC or the Medical Education Administration. If adequate explanation for the behavior is not offered, the questionable conduct may be reported to any member of the CHPC, the Medical Education administration, or via the Professionalism Incident Report (PIR) system. If a student does not wish to approach a student directly, assistance may be sought from a member of the CHPC or the Medical Education Administration. Medical students are encouraged to avoid the unnecessary disclosure of information regarding a suspected violation of this Code to students, faculty, staff or the administration. This measure helps to ensure confidentiality for the students involved. Students should avail themselves of the provisions of this Code in order to maintain presumption of innocence and confidentiality until a violation has been substantiated.
C. Responsibility to Community

Students, faculty, and administration all share in the responsibility to create an optimal environment for learning, ethical behavior, and professional conduct. If a student believes that an environment exists which create a temptation to violate the Code, these concerns should be brought to a CHPC Representative for counsel. If a student believes they have witnessed a member of the University of Miami Miller School of Medicine or Affiliated Hospitals and Clinics engaging in unprofessional or unethical behavior, they may approach any CHPC member for counsel and referral to the appropriate person.

V. THE COUNCIL FOR HONORABLE AND PROFESSIONAL CONDUCT (CHPC or Council)

A. Members

1. Chancellor
   a) The Chancellor is a member of the Senior Class, in good standing, preferably with prior experience as a member of the CHPC. The Chancellor will be nominated by the CHPC of the preceding year and confirmed by a majority vote of the Student Council. This nomination shall take place no later than six weeks prior to the end of the senior year. The Chancellor will assume office on the first day following graduation of the Senior Class. The term of office is one academic year.
   b) The Chancellor will direct and be responsible for the performance of duties of the CHPC and its members. The Chancellor will be responsible for the orientation of all new students to the Code of Honorable and Professional Conduct.
   c) The Chancellor will appear as representative of the CHPC to General Deans’ Meetings.
   d) The Chancellor may appear as a representative of the CHPC at Executive Promotions Committee meetings as requested.
   e) The Chancellor is a member of the Student Government Executive Council (SGE) and meets with the SGE to collaborate and report on major CHPC issues that are not confidential. The Chancellor attends Student Government General Council meetings and may report there as well.

2. Class Representatives
   Membership of the CHPC shall consist of one representative from each of the MS1, MS2, and MS3 classes, one representative from the MD/PhD program, and two representatives from the MD/MPH program. One MD/MPH representative shall be from the MS1 or MS2 class and one from the MS3 or MS4 class. Candidates receiving the most votes are elected. Elections are held during the regular elections for student government positions. The Chancellor will be the representative for the senior class. Each representative must be a student in good standing. Term of office is one academic year. Duties of the CHPC Representatives are as outlined by the Code of Honorable and Professional Conduct. Class representatives may discuss matters of ethics and professionalism with fellow class members related to provisions of the Code, within the limits of confidentiality. This may facilitate the resolution of disputes or misunderstandings.
3. **Clerk**  
The Council member representing the third year class shall act as Clerk. The Clerk shall assist the Chancellor and shall prepare and preserve a written record of all Council proceedings when appropriate. The Clerk will assume the duties of the Chancellor in the latter’s absence. The newly acting Chancellor will choose a replacement Clerk from the remaining representatives.

4. **Faculty Advisors**  
The principle Faculty Advisor to the CHPC shall be faculty member in good standing appointed annually by the CHPC. The CHPC will also consult with the Dean for Student Affairs, and other faculty members as appropriate.

5. **Vacancies**  
a) **Removals or Permanent Vacancies**  
If a Council member is not performing their duties satisfactorily or is no longer in good standing, they may be removed from office by majority vote of the Council. Vacancies will be promptly filled by election of a new member from the appropriate class.

b) **Temporary Vacancies**  
Temporary vacancies may be filled via appointment by the Chancellor subject to approval by a majority vote of the Council.

B. **Promotion of Ethics and Professionalism**

1. Members of the CHPC will promote awareness of the Code of Honorable and Professional Conduct and its principles. During orientation of new medical students each year, the CHPC will present the Code of Honorable and Professional Conduct and introduce students to basic medical ethics and professionalism.

2. The CHPC will promote, coordinate, and facilitate periodic discussions and debates concerning professional and ethical issues.

3. The CHPC should organize at least one major event per semester highlighting topics in ethics or professionalism to the student body.

4. The CHPC will conduct an annual review of the Handbook along with the Associate Dean for Student Affairs. The CHPC will play a consultative role in the annual review of policies and procedures.

C. **Ethics Consultation**

1. Students may request a formal Ethics Consultation with the CHPC. At these meetings, students may ask to discuss matters of professionalism, academic integrity, or ethical conduct. The meeting may deal with the student’s own behavior, or the behavior of others.

2. The medical education administration or a promotions committee may request an ethics consultation from the CHPC. Consultations will be sought when the administration or faculty wishes to obtain the CHPC’s opinion regarding school policy or potential violations of the professional or ethical standards of the UMMSM. Requests for consultation will be submitted in writing. The consultation will be de-identified, and information will be conveyed to the CHPC as deemed appropriate by the consulting body. The
CHPC will handle such requests by convening a meeting that includes the Chancellor or Clerk, at least three other members of the council, and may include the faculty advisor. After deliberation, the CHPC may choose to respond, request additional information for consideration, or defer for any reason. Responses will be provided in writing and signed by the members of the CHPC who participated in the meeting. Responses provided by the CHPC are confidential and non-binding.

3. The Associate Dean for Student Affairs will meet with the CHPC twice every semester to provide a summary report of Physician Incident Reports (PIRs) submitted over the preceding interval. At that time, the ADSA may choose to discuss specific PIRs with the CHPC for the purpose of evaluating and improving school policy, deliberating the institution’s response to such incidents, or implementing measures to try to prevent similar incidents from arising in the future. The PIR will be de-identified, and any advice given by the CHPC will be confidential and non-binding.

D. Professionalism Counseling

1. The medical education administration or a promotions committee may refer a medical student for professionalism counseling from the CHPC.
2. When counseling is sought, the Medical Education Administration or the promotions committee will submit a written request that describes the reason(s) for the request. Pertinent information will be provided as deemed appropriate by the consulting body.
3. The CHPC may request additional information as deemed appropriate.
4. Members of the CHPC will meet with the student to discuss the consult. The meeting should include the chancellor or clerk and at least three other members of the CHPC. The meetings are meant to create a non-threatening, supportive environment where students may express their questions, concerns, and perspectives regarding any incidents of unprofessional behavior. Any incidents may be discussed, and the perspective and thoughts of the student involved will be elicited. The goal of these meetings is not to be punitive, but rather instructive and informational. The intention is to prevent future acts of unprofessional behavior through open, friendly discussion.
5. At the conclusion of the meeting, the Chancellor or Clerk will submit a letter to the consulting body documenting that the student met with the CHPC for consultation, and outlining the outcome of the meeting.

E. Student Government Elections

1. In the spring of each academic year, the CHPC will oversee the elections of the student representatives of each class. This includes receiving applications, reviewing and posting student-designed advertisements, managing speeches, overseeing the voting process, and announcing winners.
2. The CHPC may disqualify any applicant if unprofessional behavior occurs during the process, or if proper procedures are not followed. At the discretion of the CHPC, advertisements deemed unprofessional may not be posted or replacements may be requested.
F. Contributing to Medical Ethics

1. Members of the CHPC shall consistently serve on the Hospital Ethics Committees of the Jackson Memorial Hospital, the University of Miami Hospitals and Clinics, the University of Miami Hospital, and the Pediatrics/Holtz Children’s Hospital.
2. Members of the CHPC will act as liaisons for students interested in Hospital Ethics Committees or other matters of ethics.

VI. AMENDMENTS TO THE CODE OF HONORABLE AND PROFESSIONAL CONDUCT

Review of the Code shall be conducted annually. Input may come from the following sources, among others.

1. Periodic questionnaire to students.
2. Examination of the CHPC records.

A majority vote of the Council is required to ratify any proposed changes. Proposed changes to the Code must be made available to the student body, faculty members, and the Executive Promotions Committee at least one week prior to the vote for review and comment. Changes approved by the CHPC shall be submitted to the Student Council for final ratification, subject to modification and approval by the Dean of the School of Medicine.

- This code was approved by a majority vote of the Student Council of the UMMSM on 16 July 2001, with revisions approved on 10 January 2002.
- The body of this code was further amended by a vote of the Student Council of the UMMSM in May 2004.
- Major Revisions:
  - 4/04 Section VI.A.2 Regarding official records to be forwarded to the EPC at the conclusion of a Full Hearing of the CHPC.
  - A major revision also occurred with significant changes in the duties of the CHPC and other aspect of the code too numerous to detail.